# Search

Chen-Yu Wei

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### Question

A farmer wants to get his cabbage, goat, and wolf across a river. He has a boat that only holds two. He cannot leave the cabbage and goat alone or the goat and wolf alone. How many river crossings does he need?

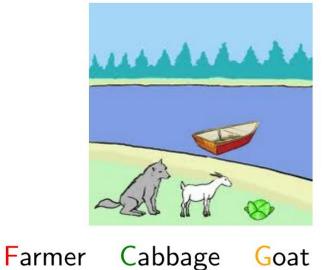
- 4 - 5 - 6 - 7

- no solution

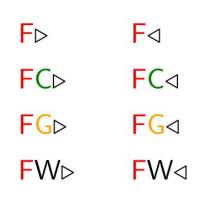
https://stanford-cs221.github.io/autumn2023-extra/modules/search/modeling.pdf

### **Model the Problem**

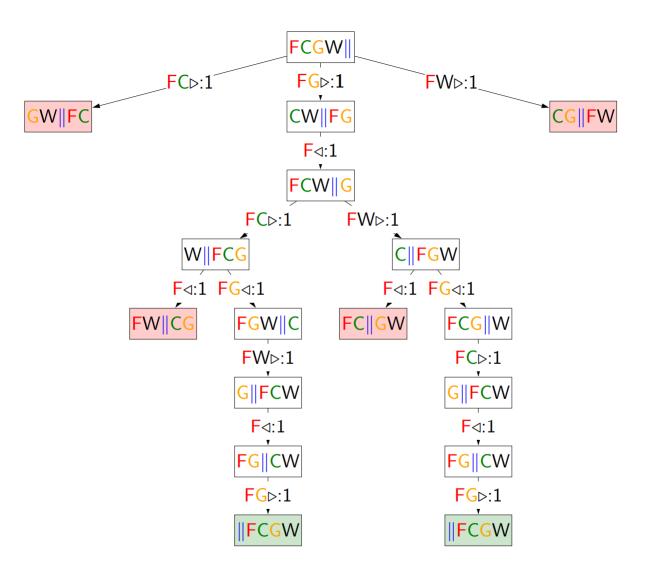
How many different "states"? How many different "actions"?



Goat Wolf



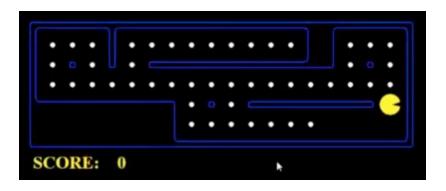
### **Build a Search Tree**



## **Search Problem**

- State space
- Initial state
- Goal test: Given a state, return whether the state is a goal
- Action
- Successor function: Given current state and action, return the new state
- (The cost of an action)

### **Example: PACMAN**



#### Eat all dots

States: {(x,y), dot booleans}

Actions: NSEW

Successor: update location and possibly a dot boolean Goal test: dots all false

#### Go to some destination

States: (x,y) location Actions: NSEW Successor: update location only Goal test: is (x,y)=END

## Example: SAINT (Slagle, 1961)

Symbolic Integrator

$$\int \frac{x^4}{(1-x^2)^{5/2}} dx = \frac{1}{3} \tan^3(\arcsin x) - \tan(\arcsin x) + \arcsin x$$

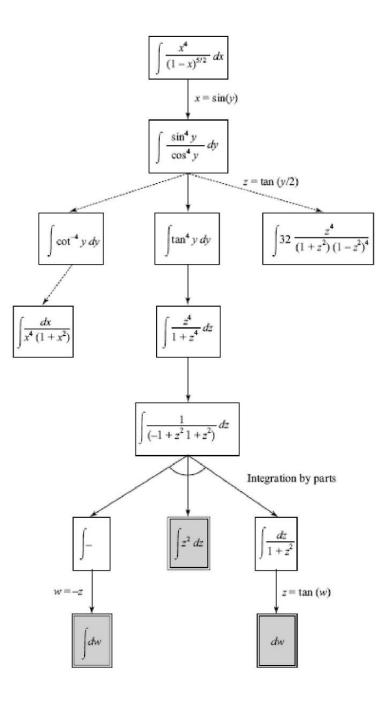
States: symbolic expression

Actions: "common techniques"

Successor: the new expression after applying the technique Goal test: whether the expression is in "standard form"

"common technique" examples:

- $\int c f(x) dx = c \int f(x) dx$
- $\int f(\tan x) dx = \int \frac{f(y)}{1+y^2} dy$
- If seeing  $1 x^2$ , then substitute  $x = \sin y$



### **Example: Machine translation**

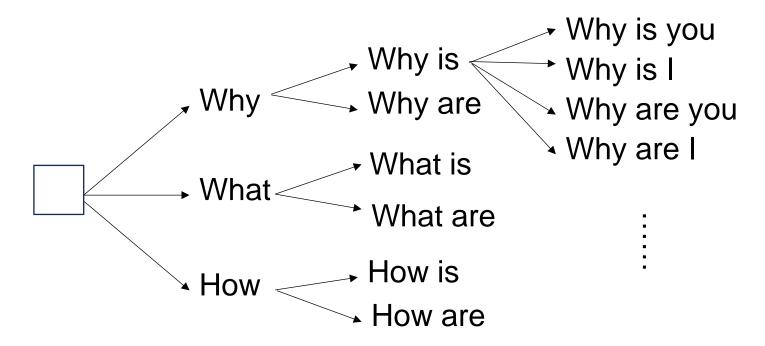
Translate "你好嗎" to English

States: current word sequence

Actions: the next word

Successor: the concatenation of current sequence and next word

Goal test: whether the current sequence means the same as 你好嗎

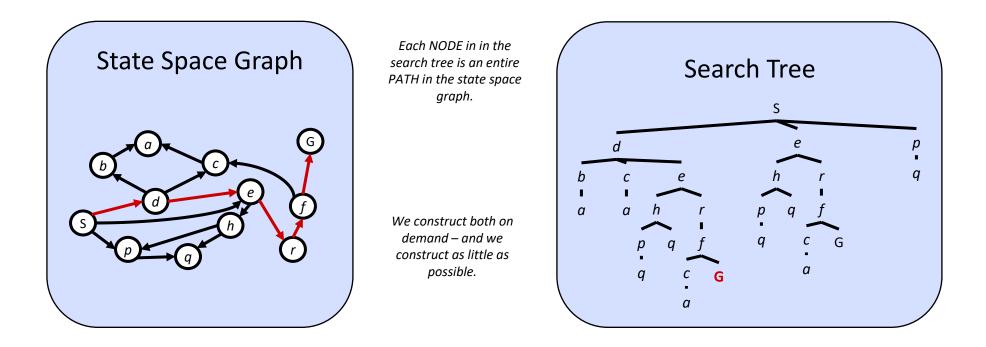


## **Topics**

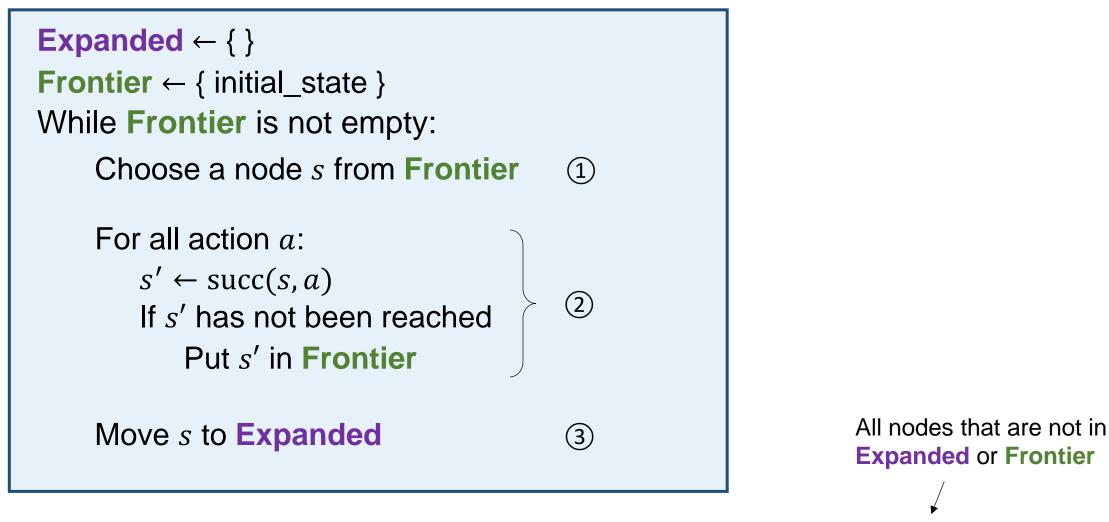
- BFS
- DFS
- UCS (Dijkstra Algorithm)
- Difference with DSA2:
  - The state space is exponentially large, and it's unlikely we'll store the whole state space in memory

# **General Framework**

### **State Space and Search Tree**

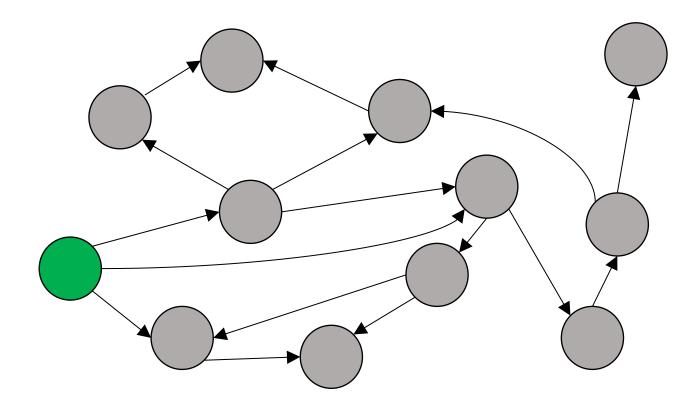


## A General Framework

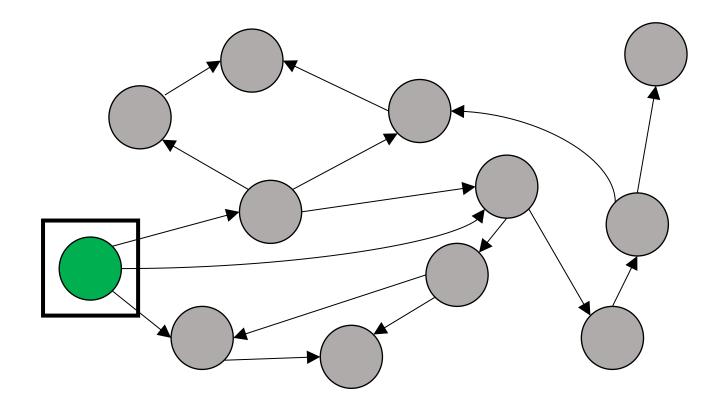


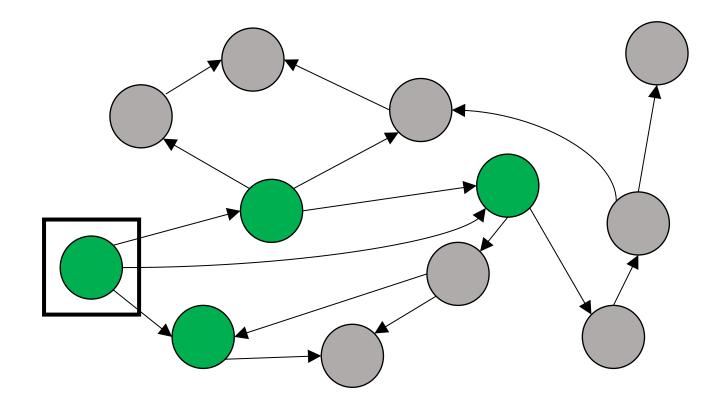
Nodes are divided into 3 groups: Expanded, Frontier, and Unreached.

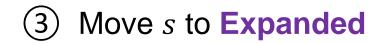


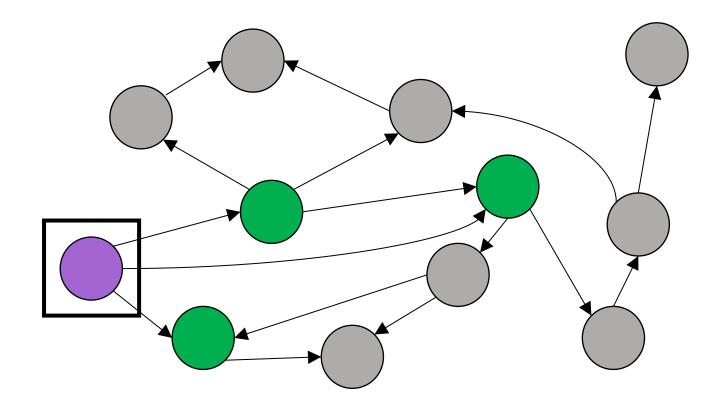




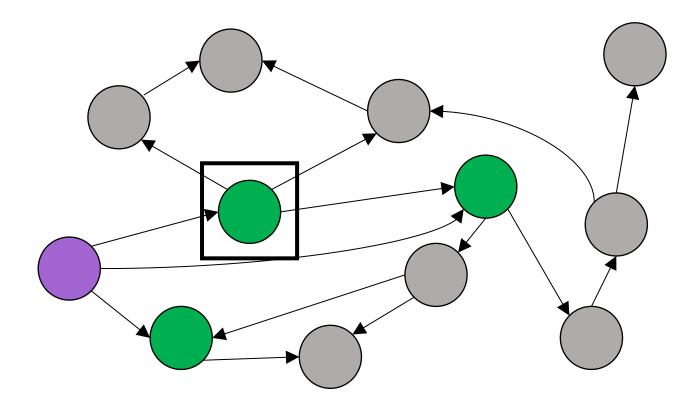


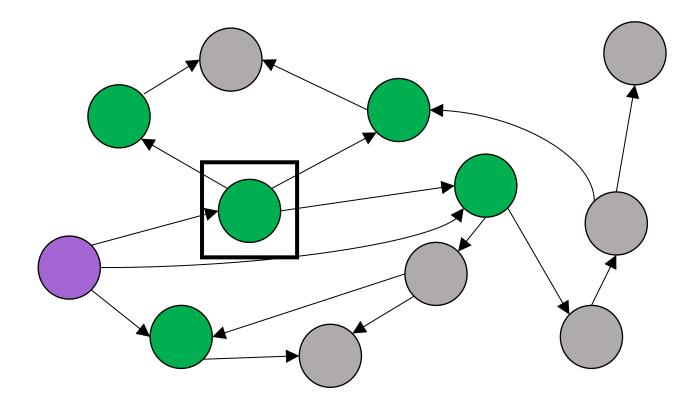


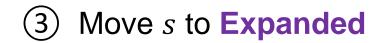


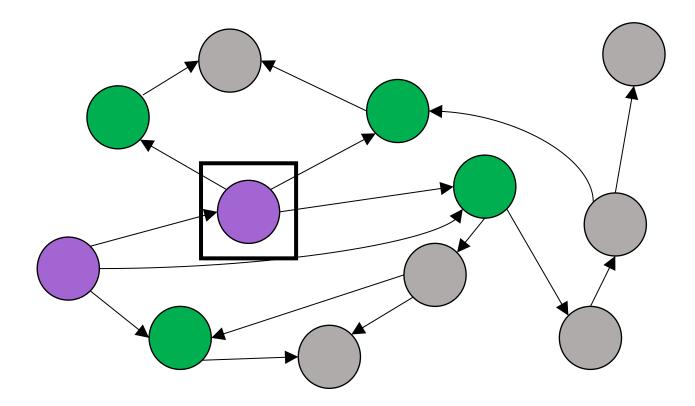




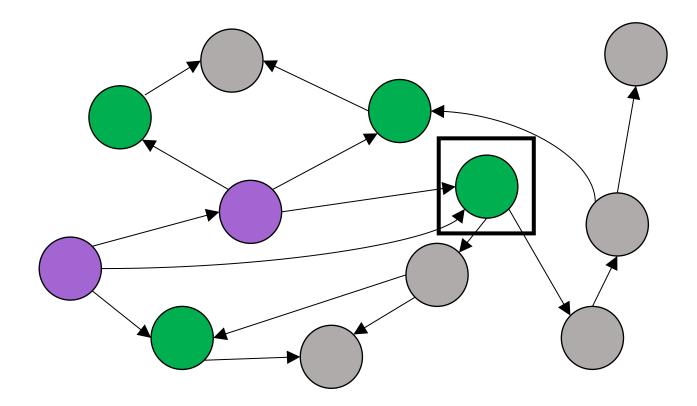


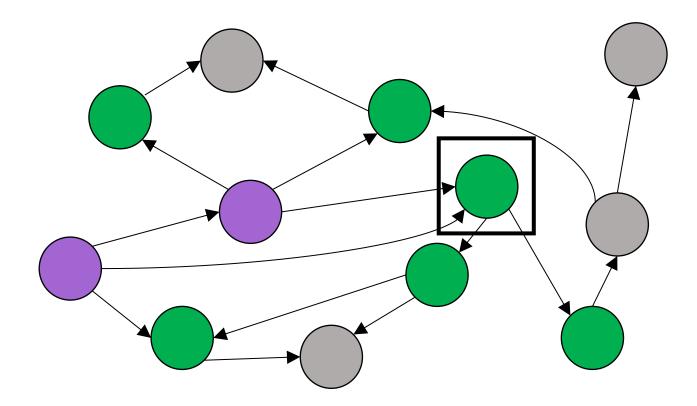


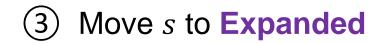


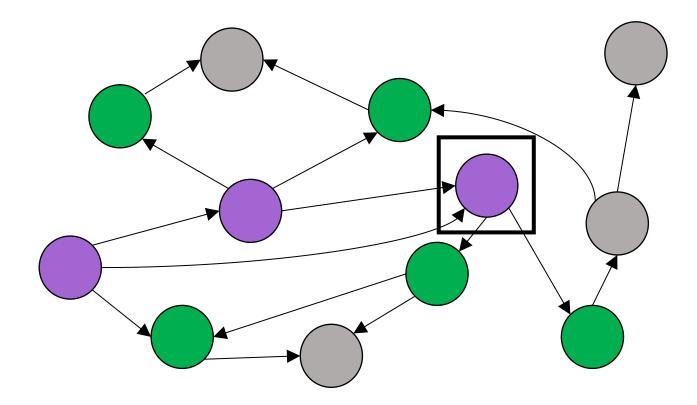




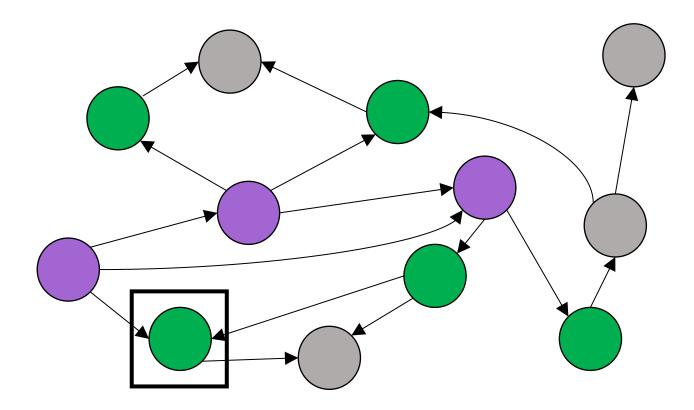


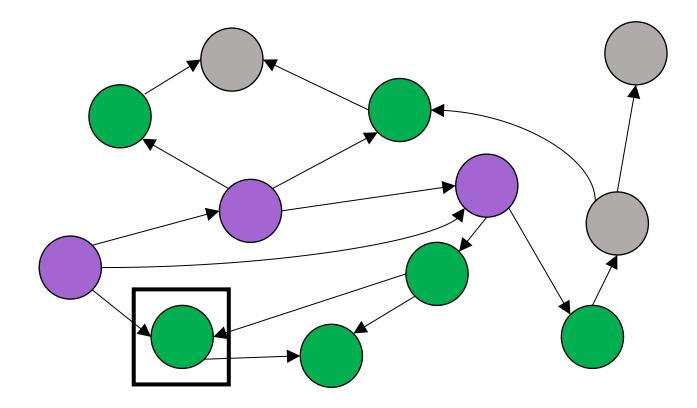


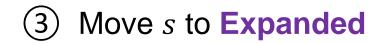


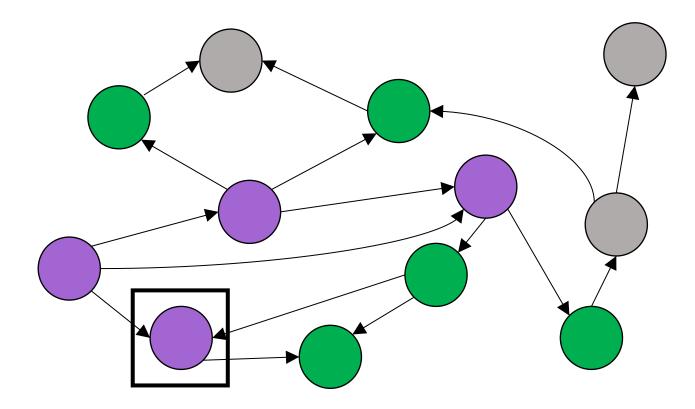












## Implementation

Choose and then remove it

Expanded  $\leftarrow$  { } Frontier  $\leftarrow$  { initial\_state } While Frontier is not empty: Choose a node *s* from Frontier For all action *a*:  $s' \leftarrow \operatorname{succ}(s, a)$ If *s'* has not been reached: Put *s'* in Frontier

Move *s* to **Expanded** 

Frontier ← { initial\_state }
While Frontier is not empty:
 Pop a node s from Frontier

For all action a:  $s' \leftarrow \operatorname{succ}(s, a)$ If not **Reached**[s']: Put s' in **Frontier Reached**[s'] \leftarrow True

## **Termination When Goal is Encountered**

**Frontier**  $\leftarrow$  { initial\_state } While **Frontier** is not empty: Pop a node *s* from **Frontier** If s is a goal state, terminate For all action *a*:  $s' \leftarrow \operatorname{succ}(s, a)$ If not Reached[s']: Put s' in **Frontier** Reached[s']  $\leftarrow$  True

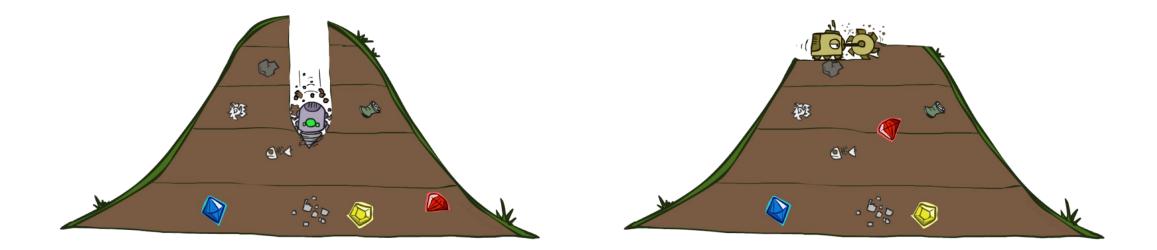
```
Frontier \leftarrow { initial_state }
While Frontier is not empty:
     Pop a node s from Frontier
     For all action a:
         s' \leftarrow \operatorname{succ}(s, a)
         If not Reached[s']:
             If s' is a goal state, terminate
             Push s' to Frontier
             Reached[s'] \leftarrow True
```

### **Termination When Goal is Encountered**

- Early Goal Test allows quicker termination when a goal is found.
  - Breadth First Search
  - Depth First Search
- However, when actions are associated with costs and we want to find a minimum cost solution (i.e., cost-sensitive), we may have to use the Late Goal Test.
  - Uniform Cost Search (Dijkstra Algorithm)

# **Uninformed Search**

### **DFS and BFS**



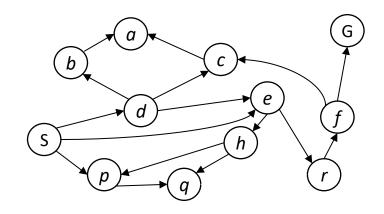
#### **Depth First Search**

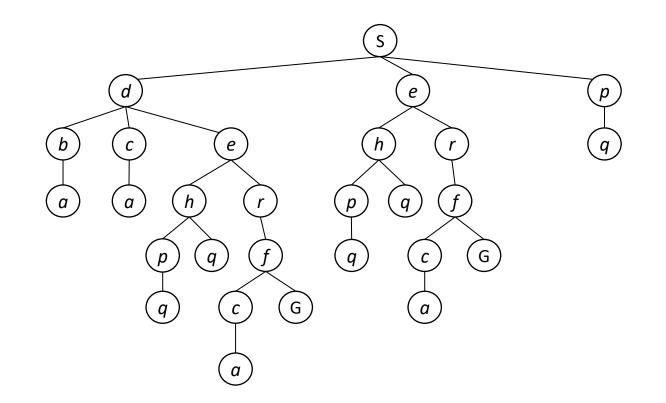
#### Breadth First Search

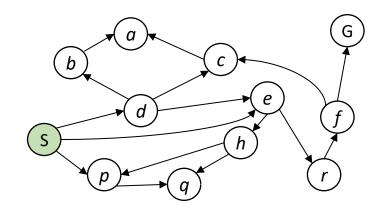
### **DFS and BFS**

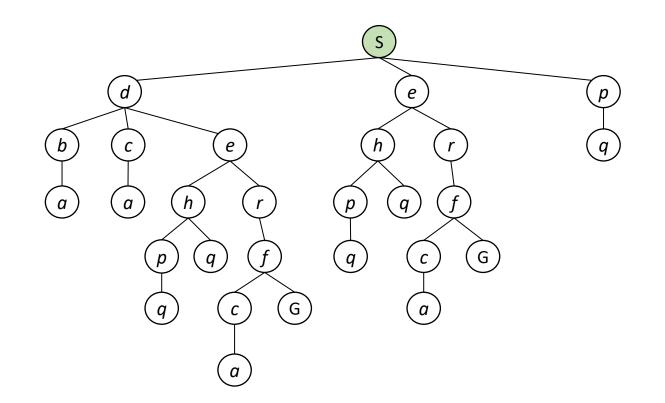
```
Frontier \leftarrow { initial_state }
While Frontier is not empty:
     Pop a node s from Frontier differ here
     For all action a:
         s' \leftarrow \operatorname{succ}(s, a)
         If not Reached[s']:
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             Push s' to Frontier
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```

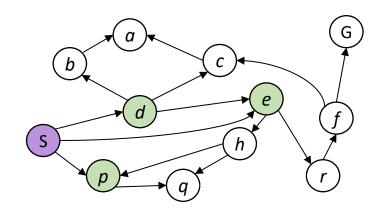
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While Frontier is not empty:
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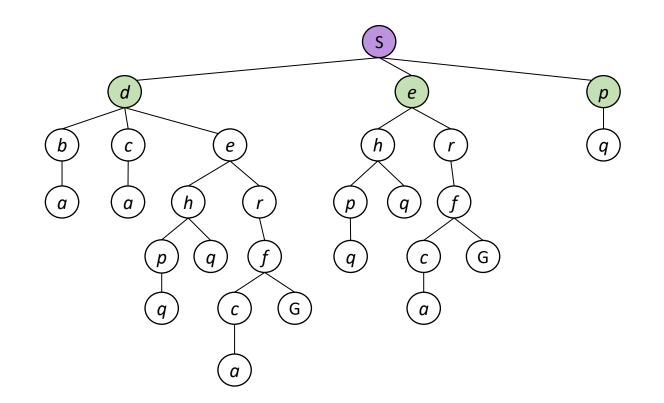


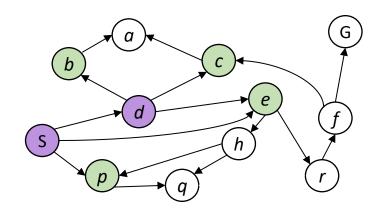


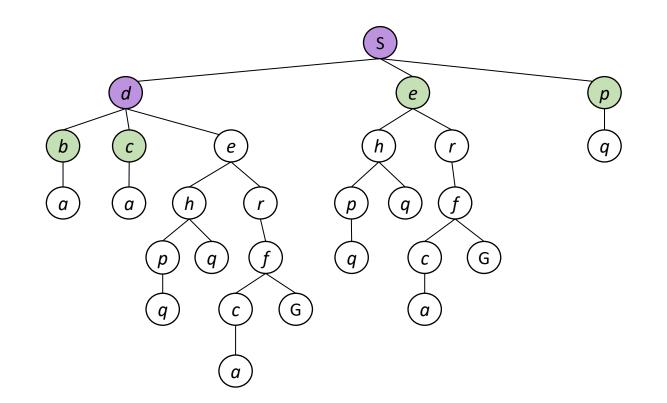


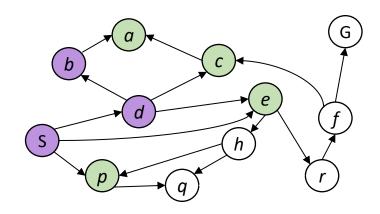


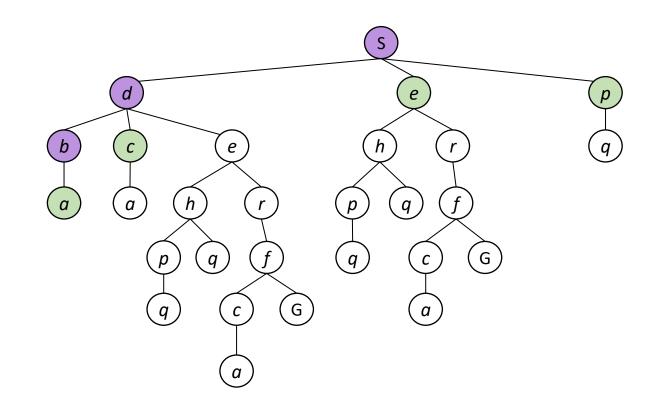


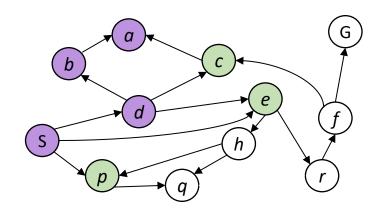


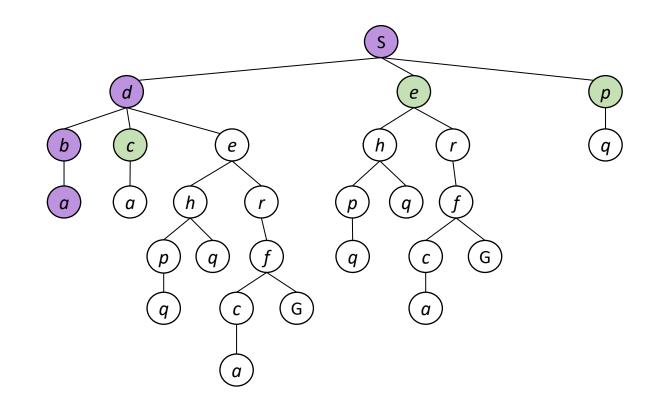


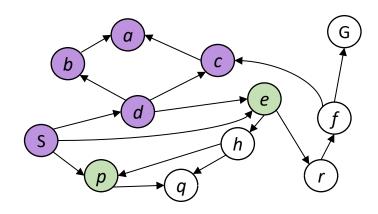


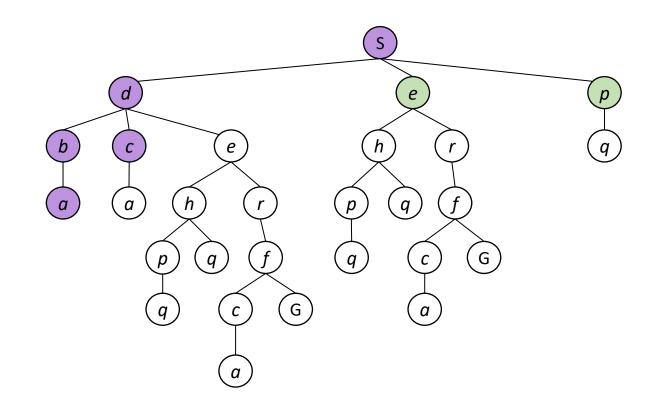


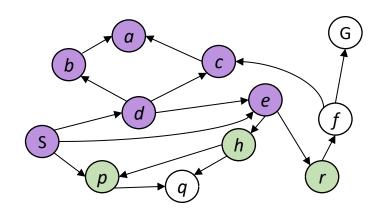


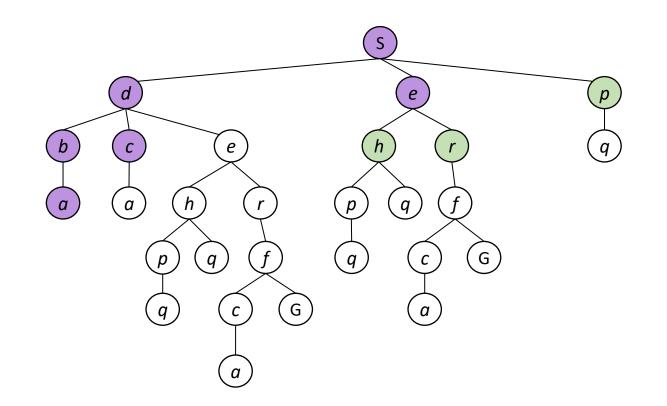


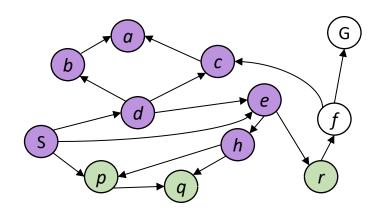


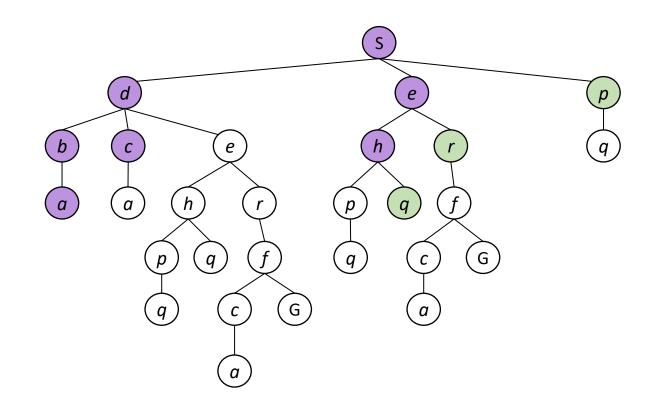


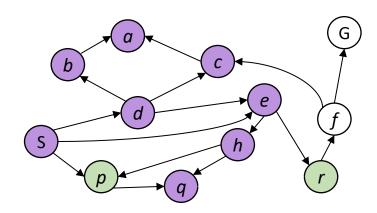


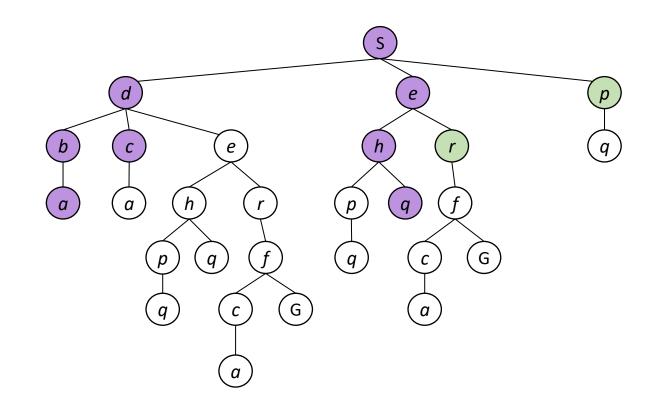


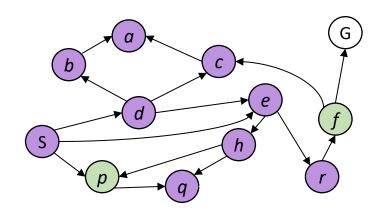


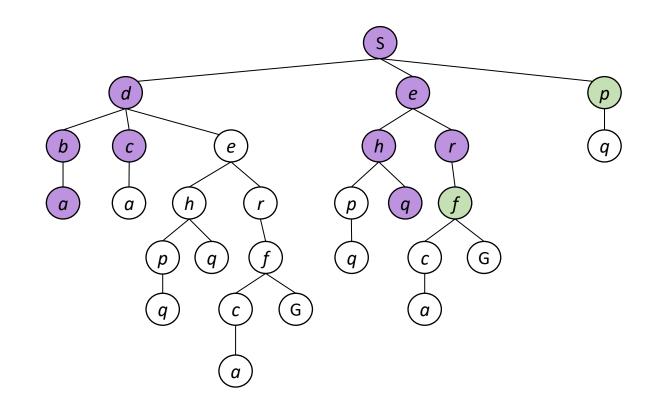


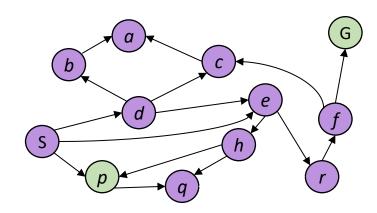


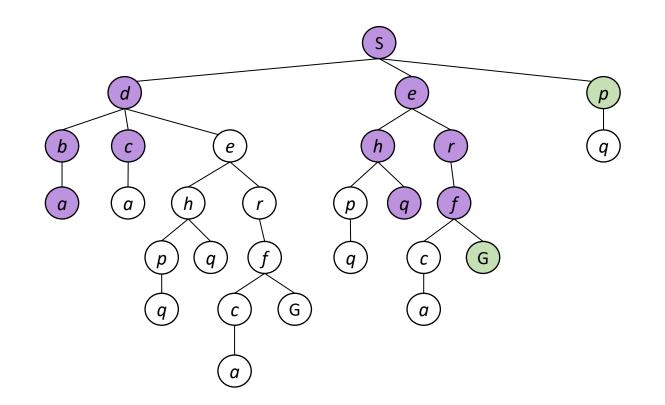




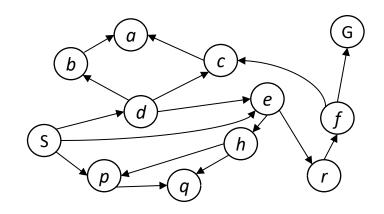


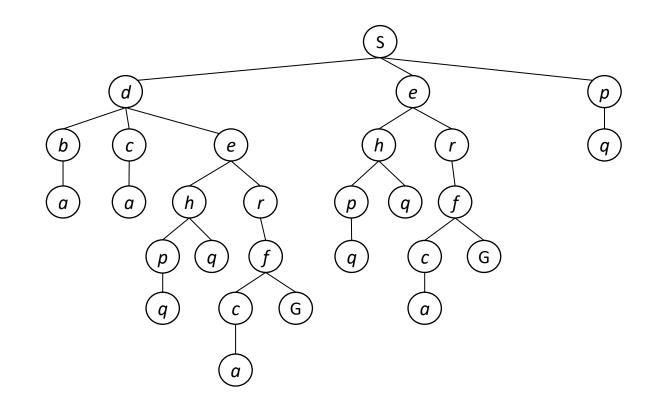


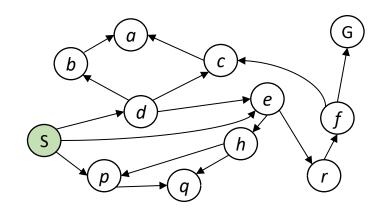


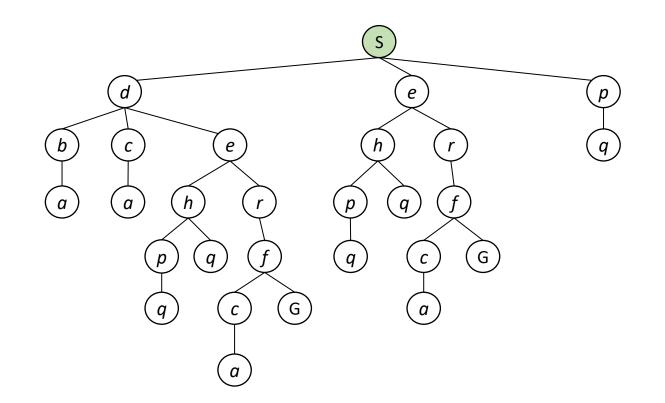


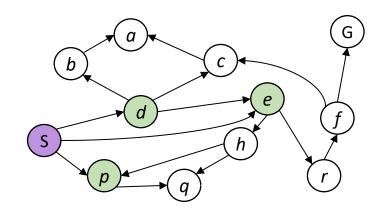
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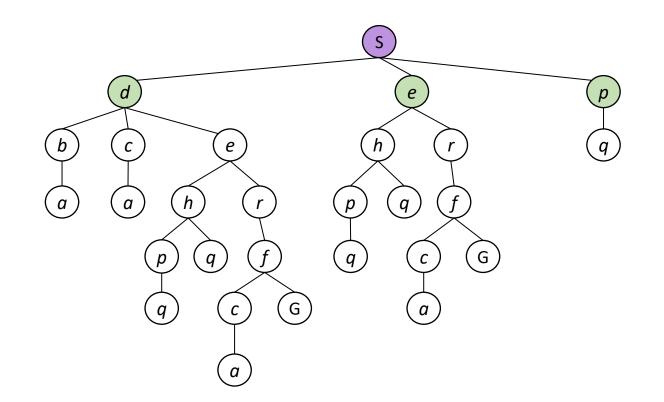


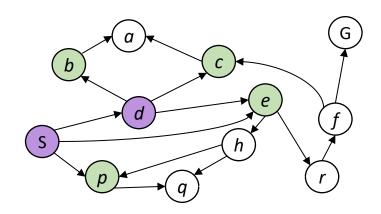


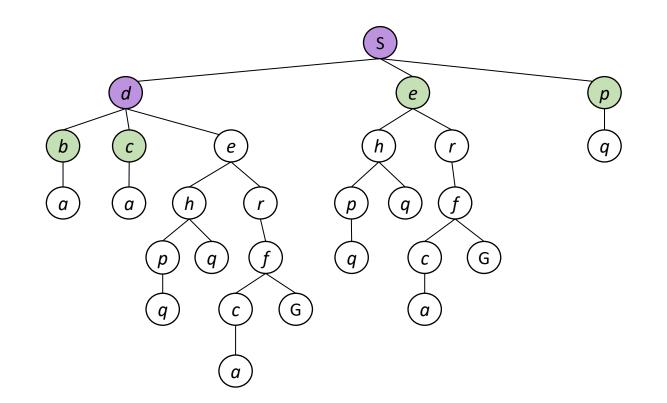


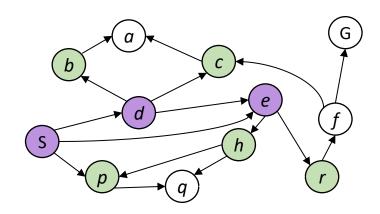


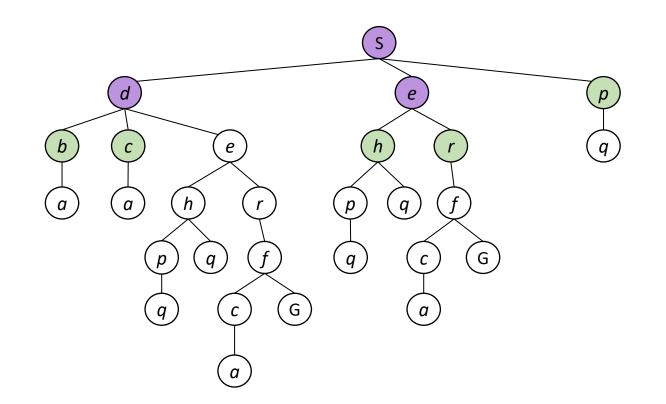


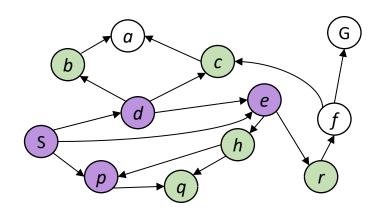


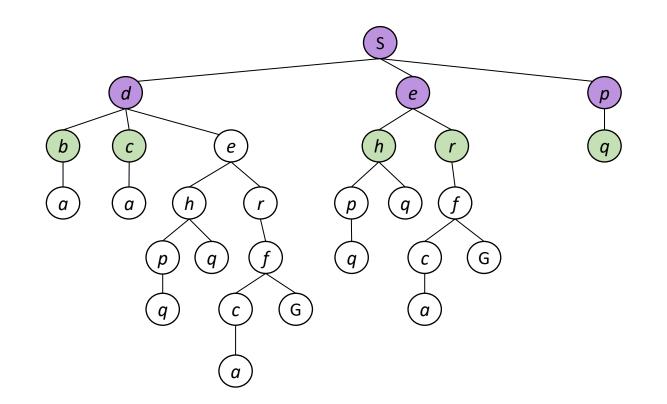


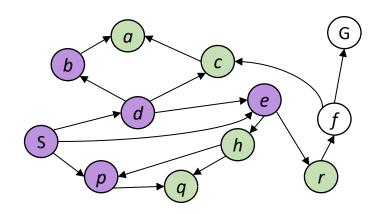


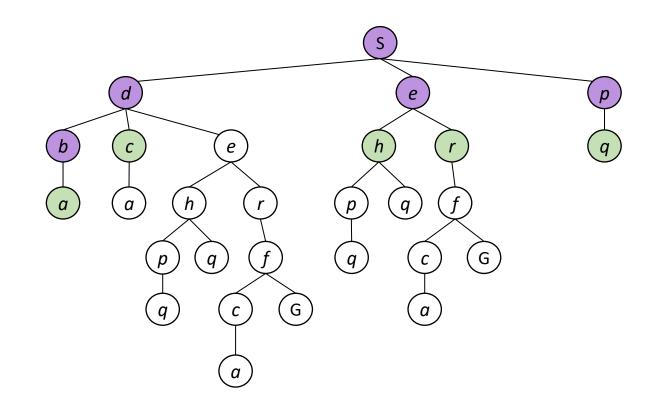


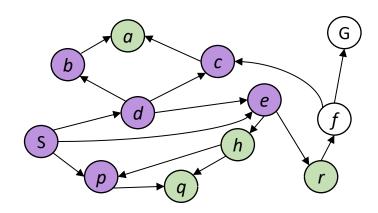


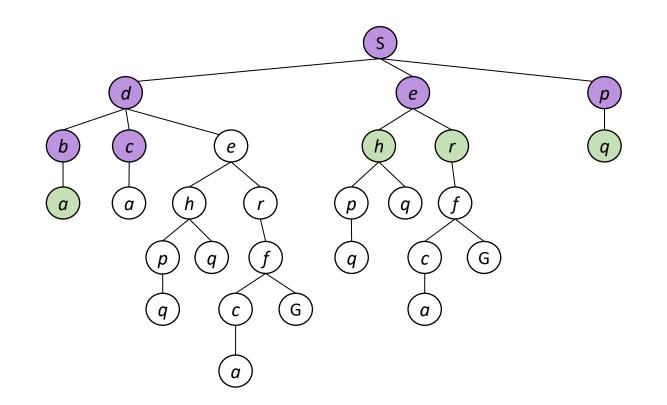


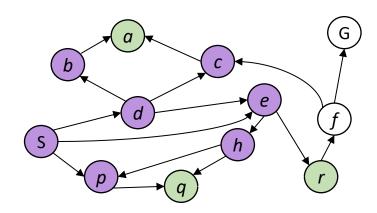


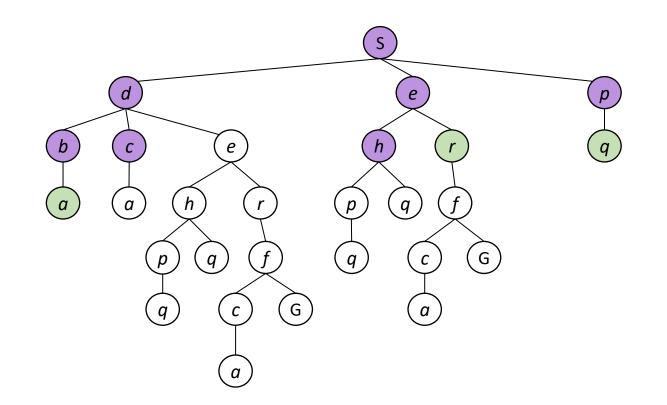


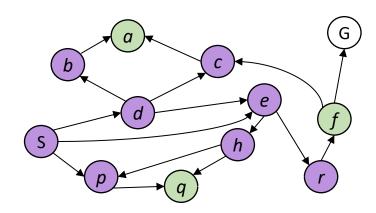


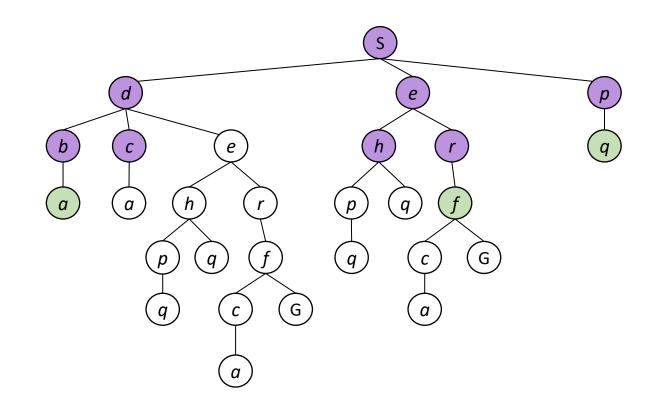


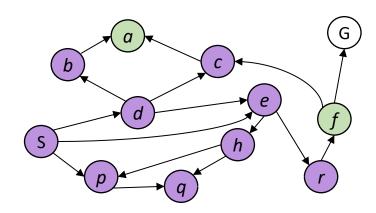


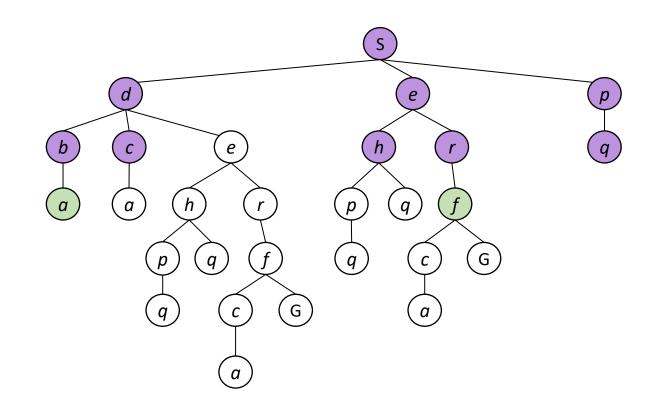


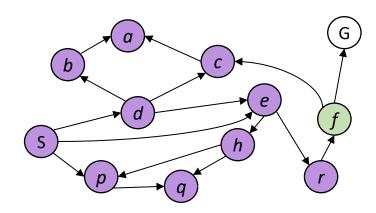


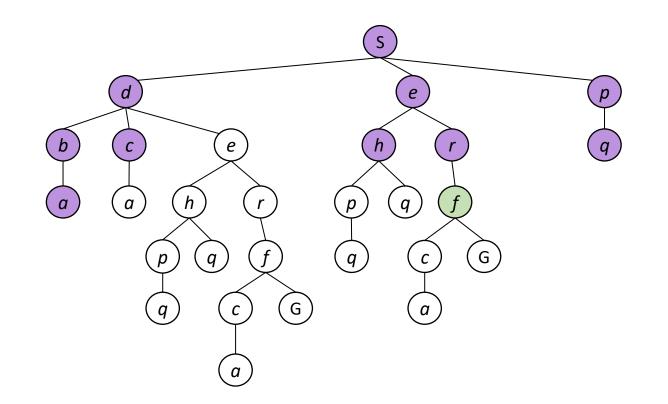


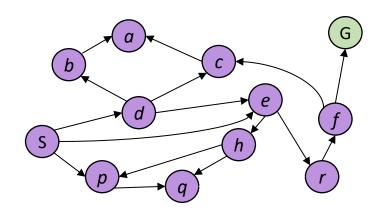


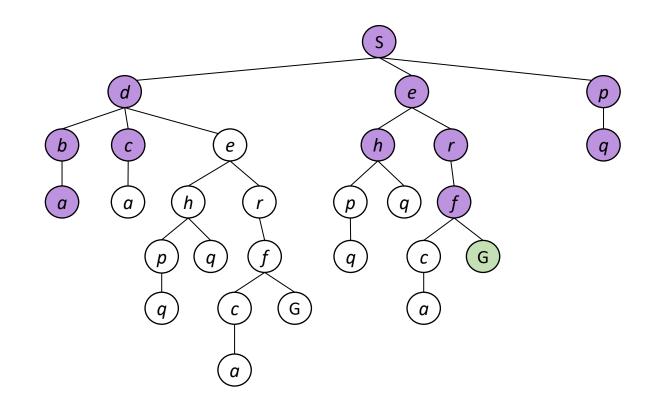






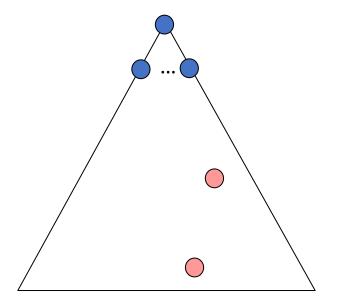






In what cases is DFS quicker to find the goal? In what cases is BFS quicker to find the goal?

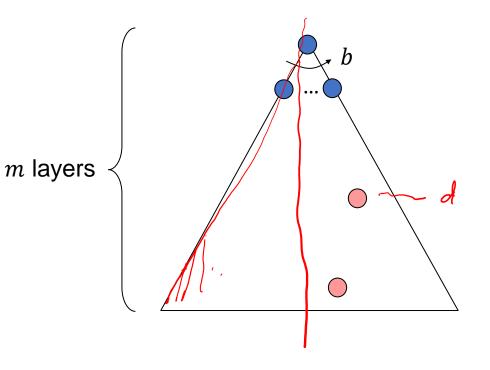
Does DFS / BFS find the goal with the smallest depth?



Suppose there exists a goal at layer  $\leq d$ . What is the time complexity for DFS / BFS to find a goal?

$$BFS: O\left(b + b^{2} + \cdots + b^{d-1}\right) = O\left(b^{d}\right)$$
expand loger 1

 $DFS: O(b^m)$ 

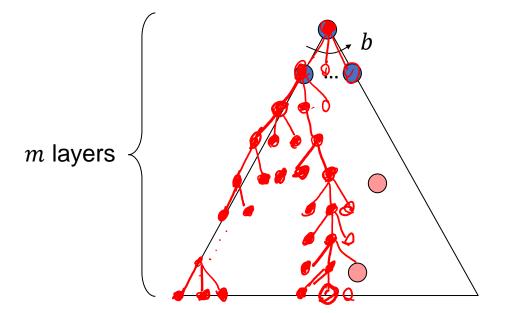


b: branching factorm: maximum depthGoals at various depths

What's the maximum possible size of **Frontier** in DFS / BFS?

$$BFS: 6(b^d)$$

$$PFS: 6(bm)$$



b: branching factorm: maximum depthGoals at various depths

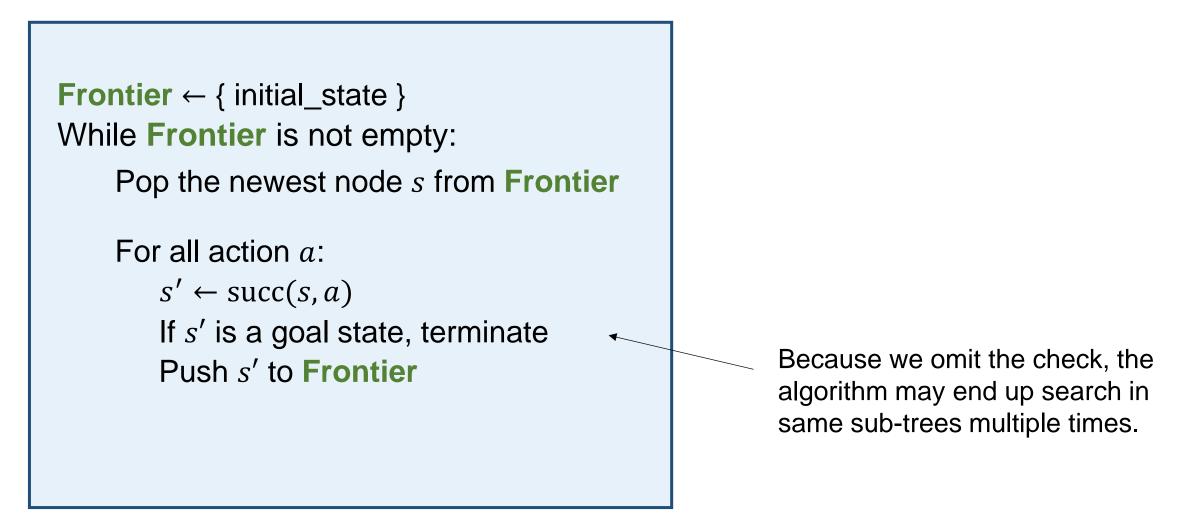
DFS vs. BFS	m = d
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	Time	Frontier Size
DFS	b <sup>m</sup>	bm
BFS	bd	bol

So DFS can be more memory-efficient than BFS?

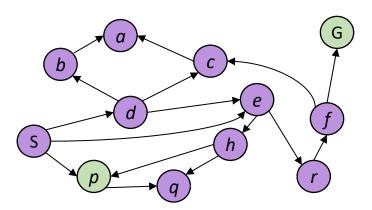
Yes ... but not with our current implementation

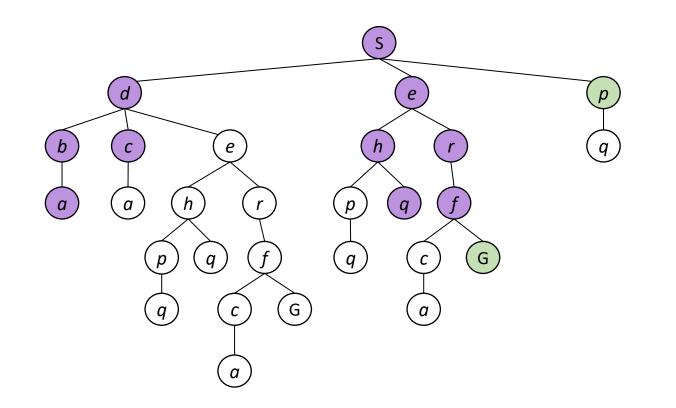
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            Push s' to Frontier
            Reached[s'] \leftarrow True
```



A Memory Efficient Version of DFS for Acyclic Graphs

#### **Previous DFS Example**



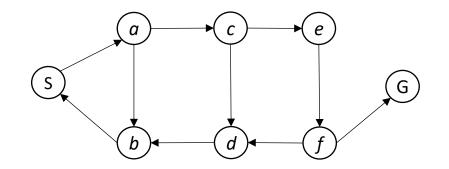


```
Frontier \leftarrow { initial_state }
While Frontier is not empty:
     Pop the newest node s from Frontier
     For all action a:
         s' \leftarrow \operatorname{succ}(s, a)
         If s' is not an ancestor of s:
             If s' is a goal state, terminate
             Push s' to Frontier
```



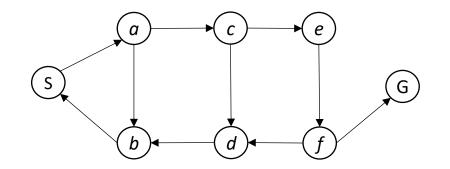
#### A Memory Efficient Version of DFS for Cyclic Graphs

handling cycles



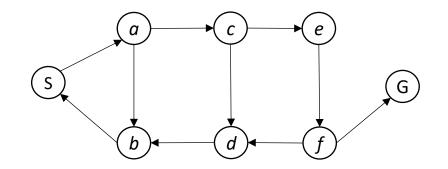
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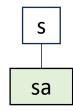
handling cycles



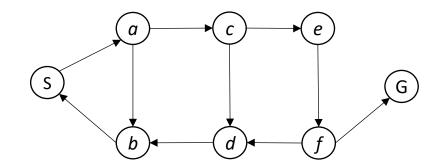
S

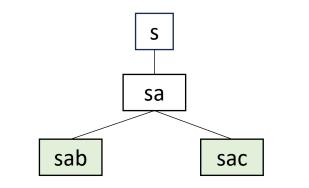
handling cycles



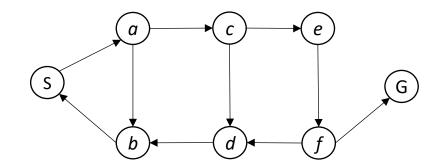


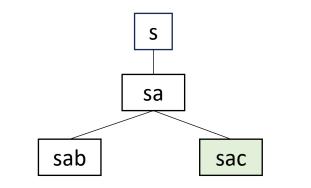
handling cycles

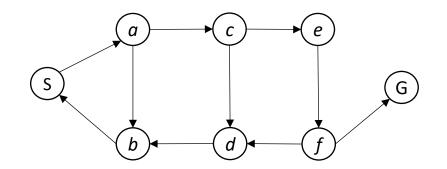


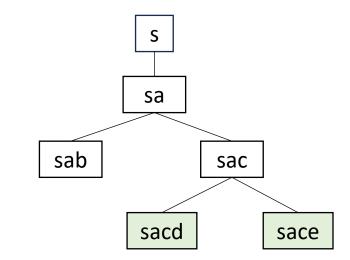


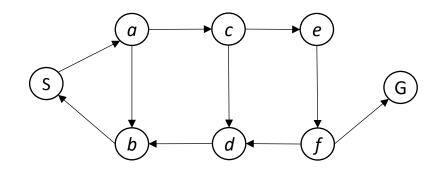
handling cycles

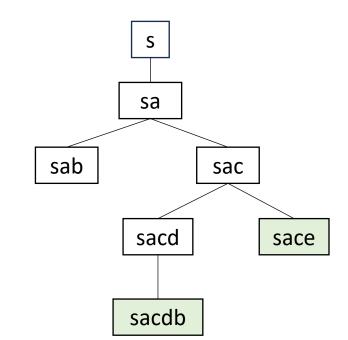


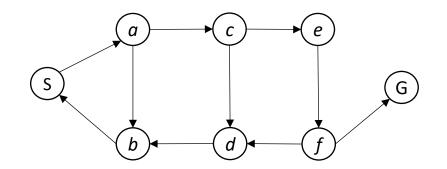


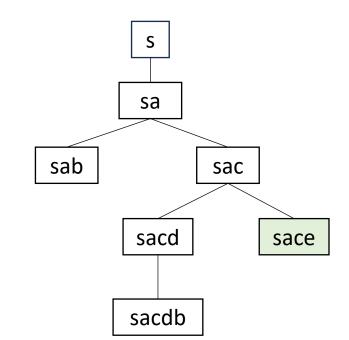


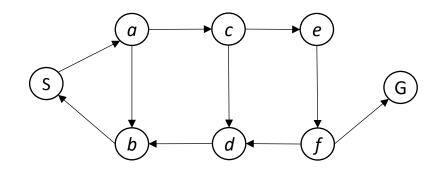


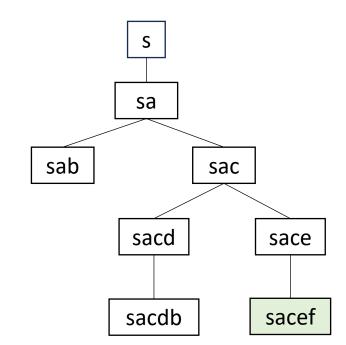


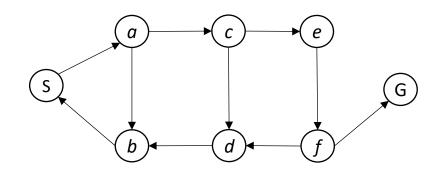


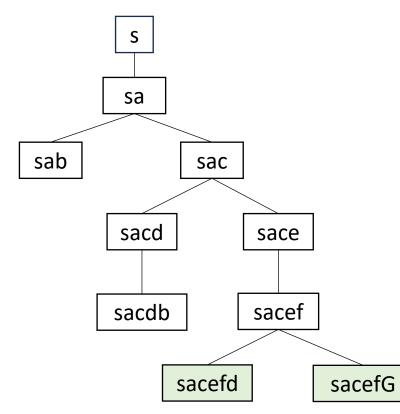


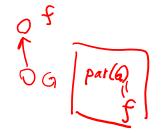












#### DFS vs. BFS

	Time	Space
DFS (memory-efficient version)	m. fm	bm²
BFS	bol	bd
IDS	$O\left(\sum_{i=1}^{d} i \cdot b^{i}\right) \leq O\left(d \cdot \sum_{i=1}^{d} b^{i}\right)$	6d <sup>2</sup>
	$\leq o(d \cdot b^d)$	

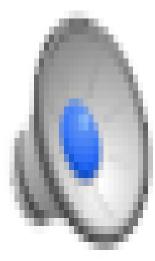
### **Iterative Deepening Search (IDS)**

Idea: get DFS's space advantage with BFS's time advantage

10

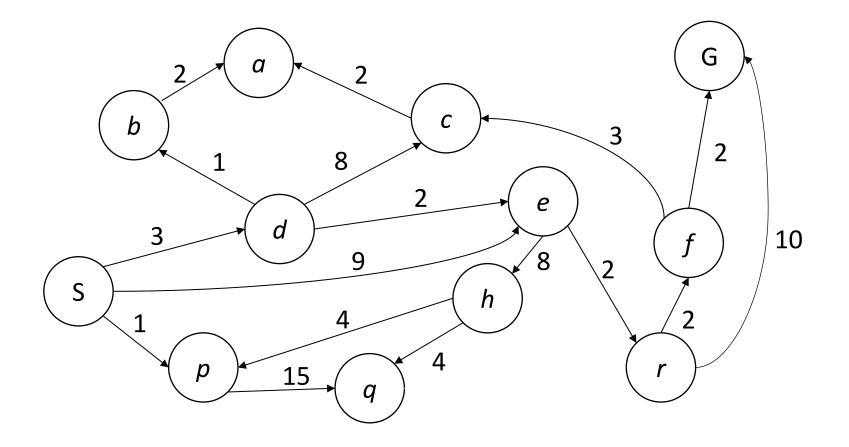
- Run a DFS with depth limit 1. If no solution...
- Run a DFS with depth limit 2. If no solution...
- Run a DFS with depth limit 3. ....
- Isn't that wastefully redundant?
  - Generally most work happens in the last level
  - Branching factor 10, solution 5 deep:
    - BFS: 10 + 100 + 1,000 + 10,000 + 100,000 = 111,110
    - IDS: 50 + 400 + 3,000 + 20,000 + 100,000 = 123,450

### Which One is DFS/BFS?



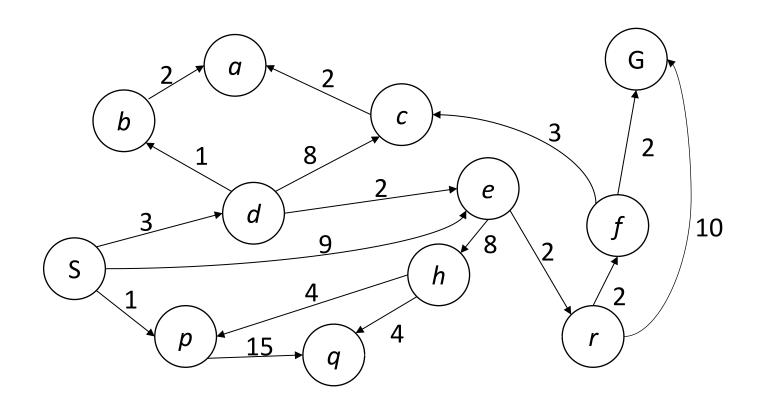


#### **Cost-Sensitive Search Problem**

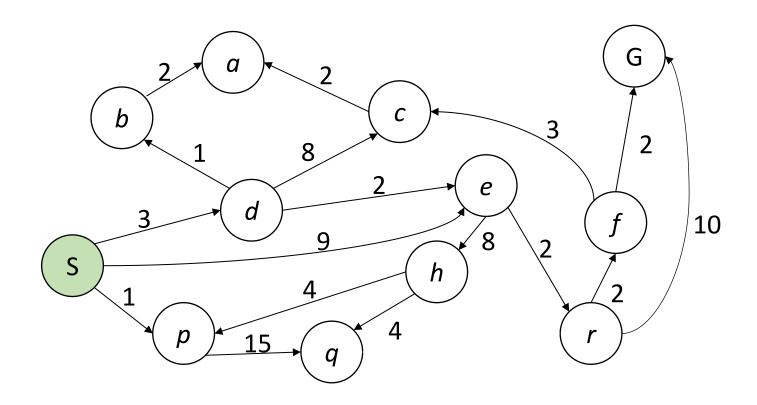


### **Uniform Cost Search (Dijkstra)**

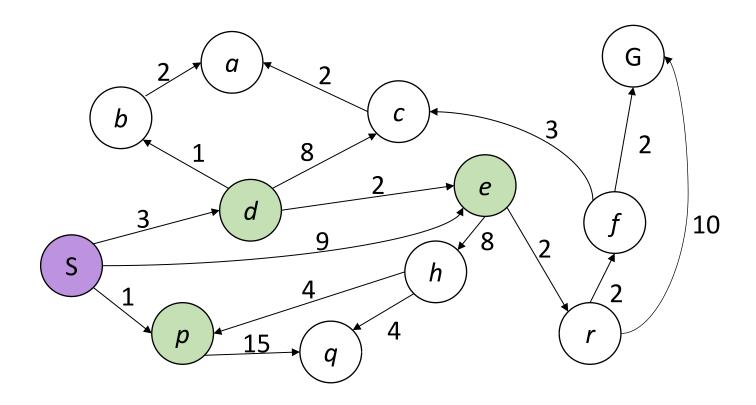
```
Frontier \leftarrow { initial_state }
While Frontier is not empty:
     Pop a node s from Frontier \leftarrow Choose the one with smallest g(s)
     If s is a goal state, then terminate
     For all action a:
         s' \leftarrow \operatorname{succ}(s, a)
         If not Reached[s']:
             Put s' in Frontier
             Reached[s'] \leftarrow True
         g(s') \leftarrow \min \{ g(s'), g(s) + \operatorname{cost}(s, a) \}
```



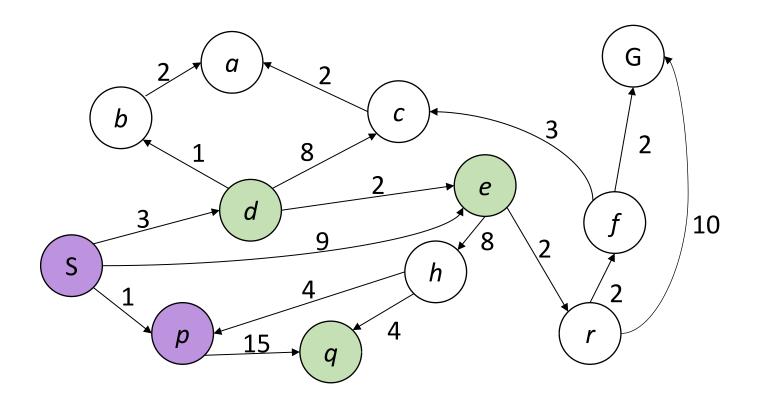
x	g(x)
S	
а	
b	
С	
d	
е	
f	
h	
р	
q	
r	
G	



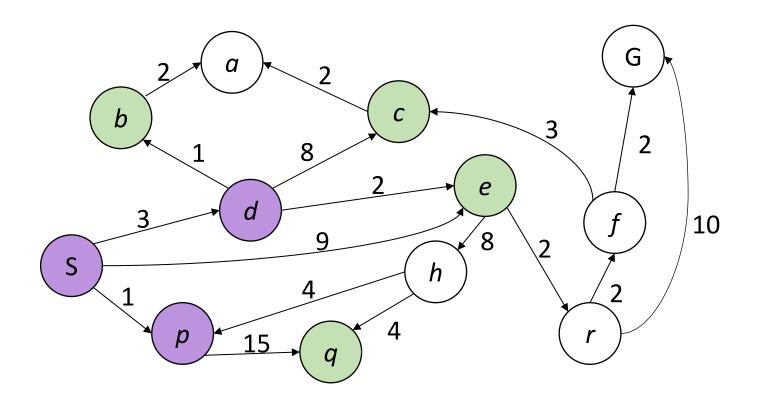
x	g(x)
S	0
а	8
b	$\infty$
С	$\infty$
d	$\infty$
е	$\infty$
f	$\infty$
h	$\infty$
р	$\infty$
q	8
r	8
G	8



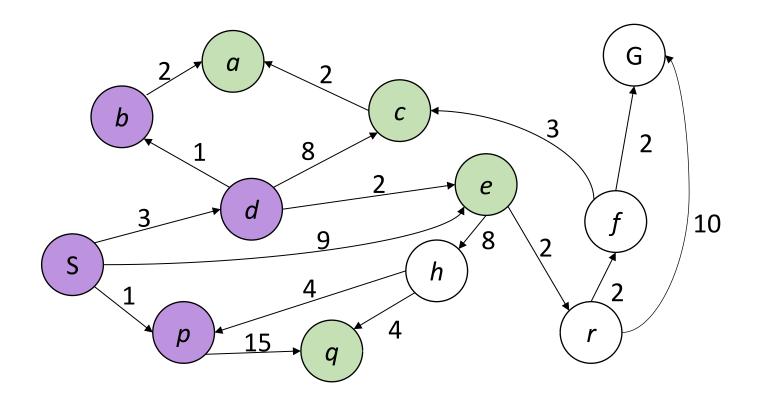
x	g(x)
S	0
а	$\infty$
b	$\infty$
С	$\infty$
d	3
е	9
f	$\infty$
h	$\infty$
р	1
q	8
r	Ø
G	$\infty$



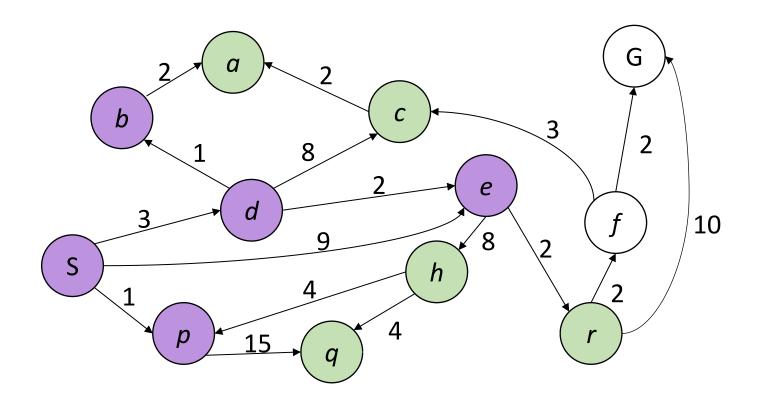
x	g(x)
S	0
а	8
b	$\infty$
С	$\infty$
d	3
е	9
f	$\infty$
h	8
р	1
q	16
r	8
G	8



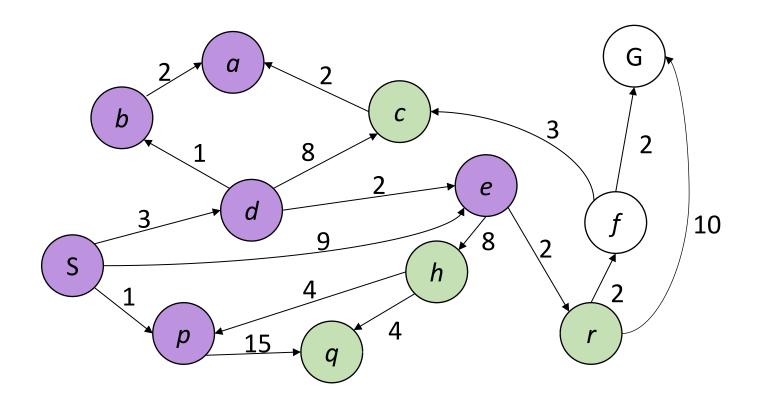
x	<i>g</i> ( <i>x</i> )
S	0
а	$\infty$
b	4
С	11
d	3
е	5
f	$\infty$
h	8
р	1
q	16
r	8
G	$\infty$



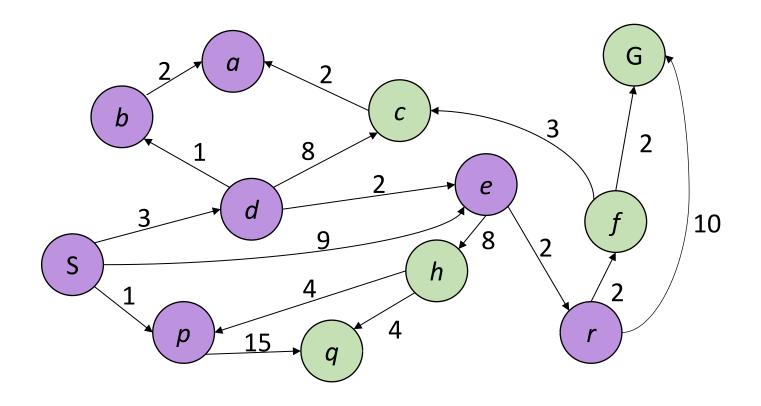
x	g(x)
S	0
а	6
b	4
С	11
d	3
е	5
f	8
h	8
р	1
q	16
r	8
G	8



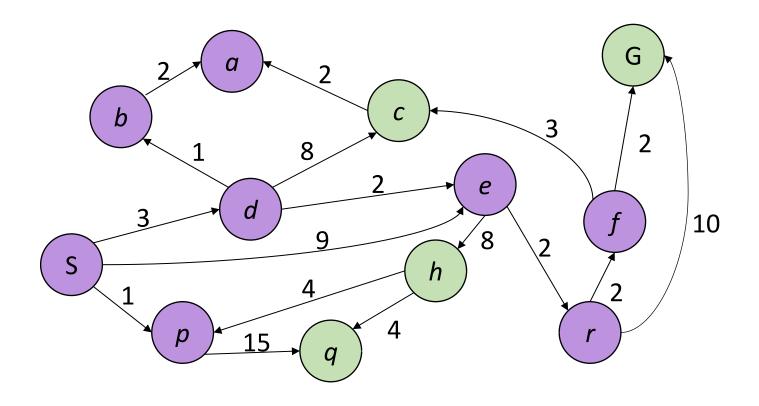
x	g(x)
S	0
а	6
b	4
С	11
d	3
е	5
f	8
h	13
р	1
q	16
r	7
G	8



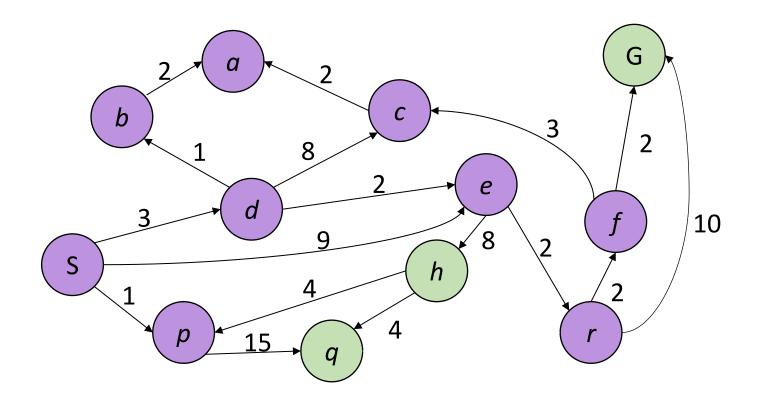
x	g(x)
S	0
а	6
b	4
С	11
d	3
е	5
f	$\infty$
h	13
р	1
q	16
r	7
G	$\infty$



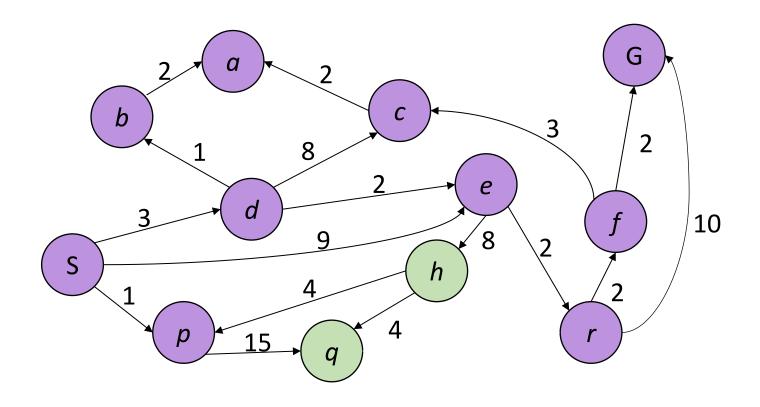
x	g(x)
S	0
а	6
b	4
С	11
d	3
е	5
f	9
h	13
р	1
q	16
r	7
G	17



x	g(x)
S	0
а	6
b	4
С	11
d	3
е	5
f	9
h	13
р	1
q	16
r	7
G	11



x	<i>g</i> ( <i>x</i> )
S	0
а	6
b	4
С	11
d	3
е	5
f	9
h	13
р	1
q	16
r	7
G	11

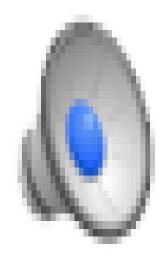


x	g(x)
S	0
а	6
b	4
С	11
d	3
е	5
f	9
h	13
р	1
q	16
r	7
G	11

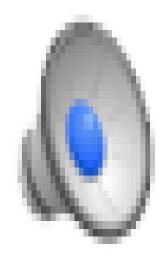
#### **DFS/BFS/UCS?** (Deep/light blue → high/low cost)



#### **DFS/BFS/UCS?** (Deep/light blue → high/low cost)

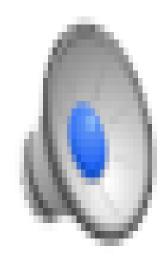


#### **DFS/BFS/UCS?** (Deep/light blue → high/low cost)

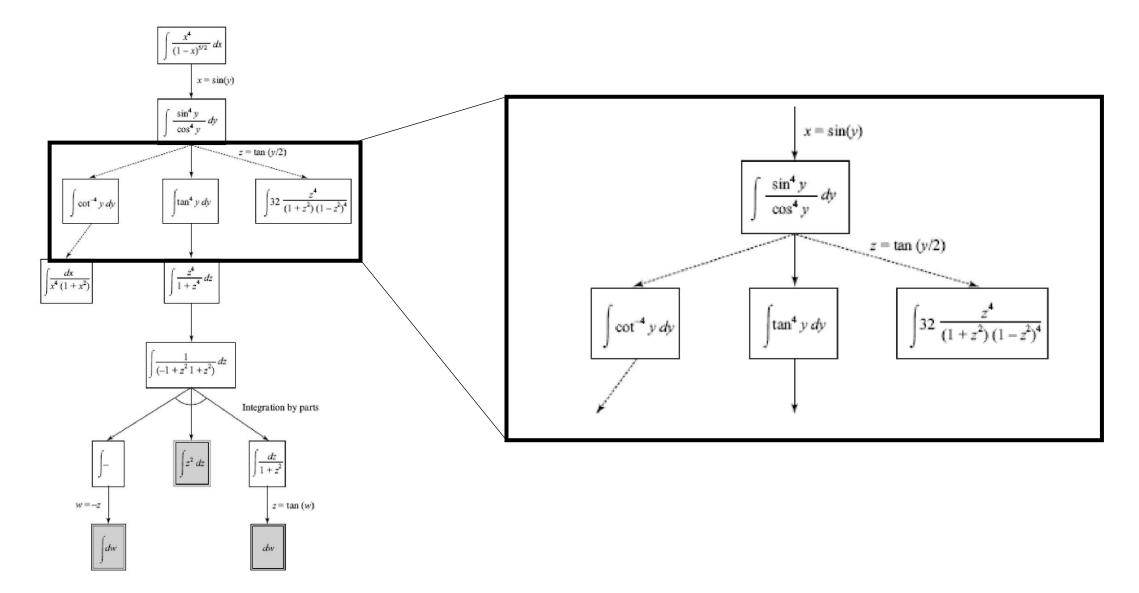


# **Informed Search**

#### Inefficiency of the Search Algorithms We See So Far

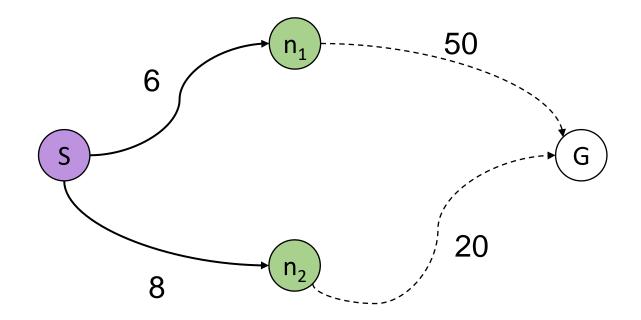


### Inefficiency of the Search Algorithms We See So Far



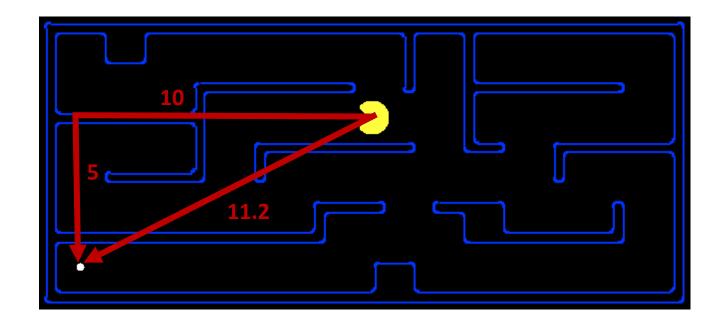
### **Heuristic Function**

Suppose we have some "guess" for the distance from every node to the goal. Can we leverage it to accelerate the search?



### **Heuristic Function**

Having a heuristic function that accurately predict the distance might be impossible. However, some function that **correlates** with the true distance may be easy to find.



### **Greedy Best-First Search**

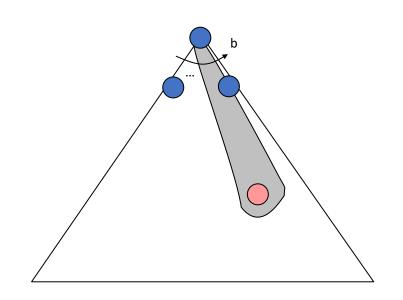
Suppose we have a heuristic function h(s).

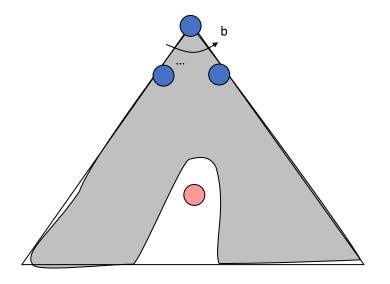
```
Frontier \leftarrow { initial_state }
While Frontier is not empty:
    Pop a node s from Frontier \leftarrow Choose the one with smallest h(s)
     For all action a:
        s' \leftarrow \operatorname{succ}(s, a)
         If not Reached[s']:
             If s' is a goal state, then terminate
             Push s' to Frontier
             Reached[s'] \leftarrow True
```

### **Greedy Best-First Search**

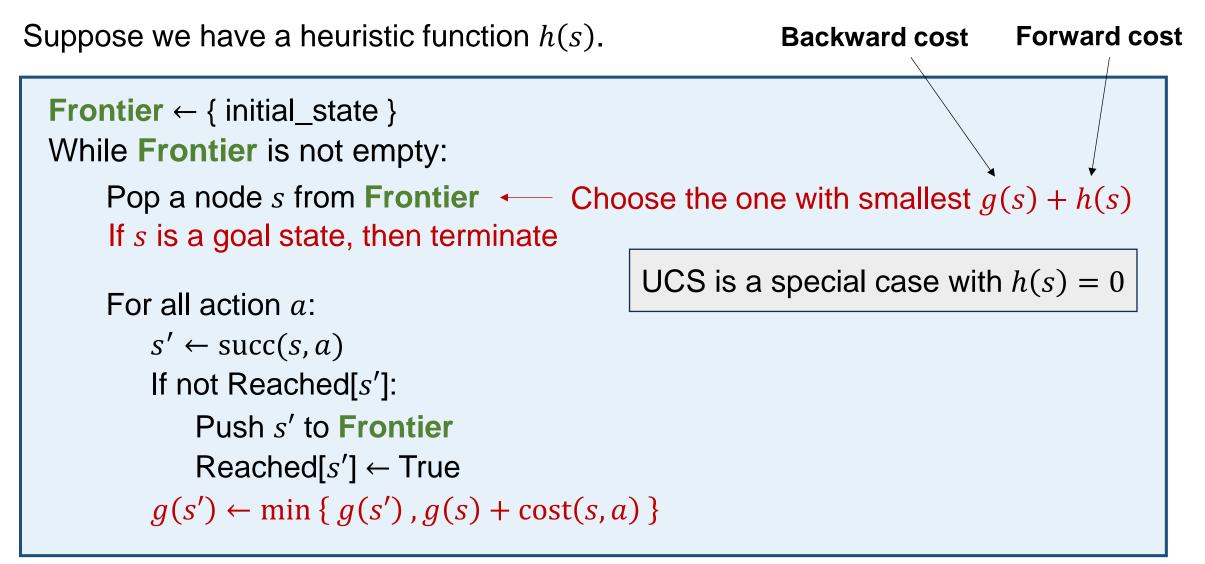
- If the heuristic is good
  - Take us directly to the goal
  - Like a nicely-guided DFS

- In the worst case
  - Take us to the wrong way
  - Like a badly-guided DFS





## A\* Search: Combining Greedy and UCS



### A\* Search

#### **Evaluation functions:**

- Greedy (Greedy Best-First) Search: h(s)
- Uniform Cost Search: g(s)
- A\* Search: g(s) + h(s)
- Weighted A\* Search:  $g(s) + w \cdot h(s)$  for some  $w \in (0, \infty)$

#### cost (S-)s') = cost (s, c) where s'= succ (s,a)

С

C

C = C + h(s') - h(s) < Cgood h: h(s') < h(s)

> • (1

### A\* Search

A\* Search = Uniform Cost Search with modified cost

$$\widetilde{cost}(s,a) = cost(s,a) + h(s') - h(s)$$

#### Proof

Let  $\tilde{g}(s)$  be the values of UCS with the modified loss

$$\tilde{g}(s) = \tilde{cost}(s_1 \rightarrow s_2) + \tilde{cost}(s_2 \rightarrow s_3) + \dots + \tilde{cost}(s_m \rightarrow s)$$

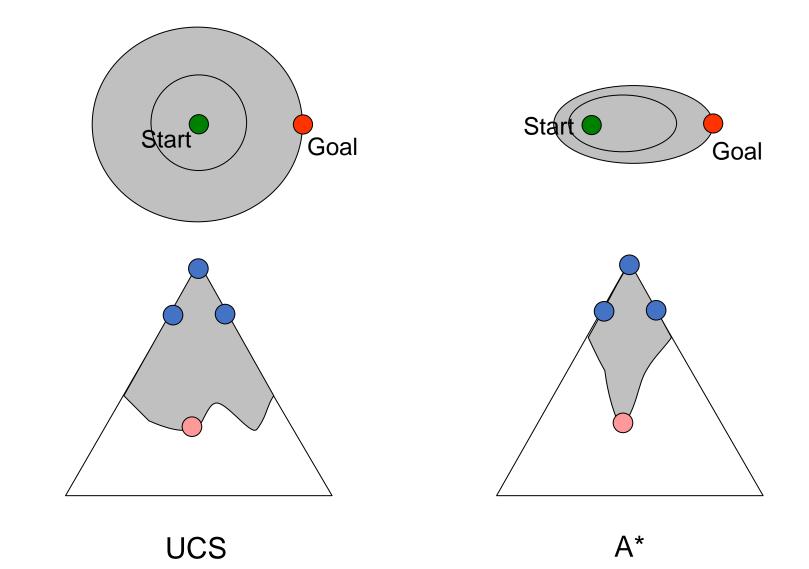
$$= [\underline{cost}(s_1 \rightarrow s_2) + h(s_2) - h(s_1)] + [\underline{cost}(s_2 \rightarrow s_3) + h(s_3) - h(s_2)] + \dots$$

$$+ [\underline{cost}(s_m \rightarrow s) + h(s) - h(s_m)]$$

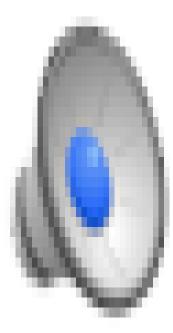
$$= g(s) + h(s) - h(s_1)$$

$$s_1 \rightarrow s_2 \rightarrow s_3 - \dots \rightarrow s_m \rightarrow s$$
initial state

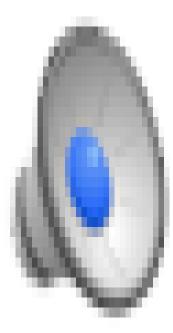
### UCS vs. A\*



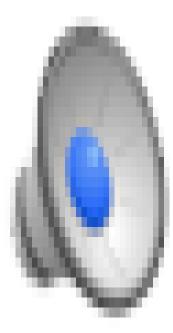
# UCS / Greedy Best-First / A\* ?



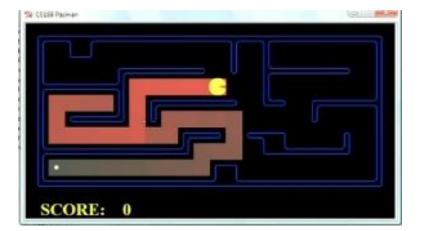
# UCS / Greedy Best-First / A\* ?

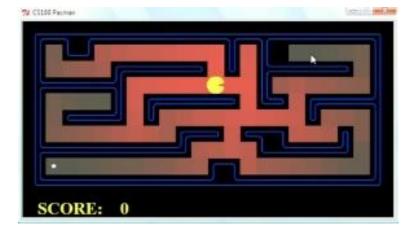


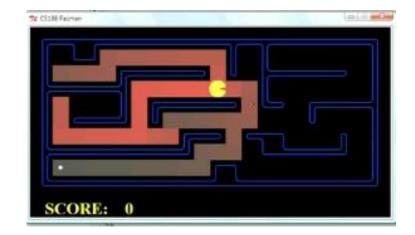
# UCS / Greedy Best-First / A\* ?









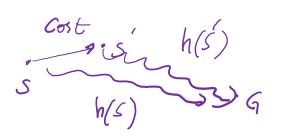


Greedy





**Definitions** 



A heuristic function h is called **consistent** if for all s and s'

 $h(s) \le h(s') + \cos(s \to s')$  (triangle inequality)

and h(G) = 0 for any goal state G.

#### Theorem

If the heuristic function is **consistent**, then A\* returns minimum-cost solution.

**Proof** A\* is equivalent to UCS with  $\widetilde{cost}(s \to s') := \underline{cost}(s \to s') + h(s') - h(s) \ge \bigcirc$ Total modified cost of any path  $s_1 \to s_2 \to \cdots \to s_m \to G$  is

$$\begin{split} \widetilde{\operatorname{cost}}(s_1 \to s_2) &+ \widetilde{\operatorname{cost}}(s_2 \to s_3) + \dots + \widetilde{\operatorname{cost}}(s_m \to G) \\ &= [\operatorname{cost}(s_1 \to s_2) + h(s_2) - h(s_1)] + [\operatorname{cost}(s_2 \to s_3) + h(s_3) - h(s_2)] + \dots \\ &+ [\operatorname{cost}(s_m \to G) + h(G) - h(s_m)] \\ &= \operatorname{cost}(s_1 \to s_2) + \operatorname{cost}(s_2 \to s_3) + \dots + \operatorname{cost}(s_m \to G) - h(s_1) + h(G) \end{split}$$

Since  $\widetilde{cost}(s \rightarrow s') \ge 0$  by the consistency of *h*, A\*'s optimality follows UCS's optimality under non-negative cost.

#### Definitions

A heuristic function *h* is called **admissible** if for all *s* 

 $0 \le h(s) \le h^\star(s)$ 

where  $h^*(s)$  is the true minimum distance from s to goal.

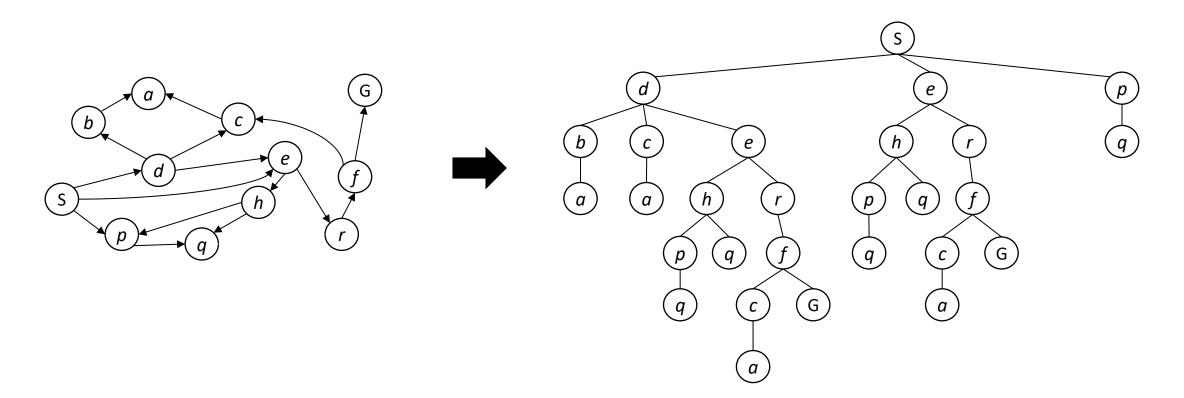
#### Theorems

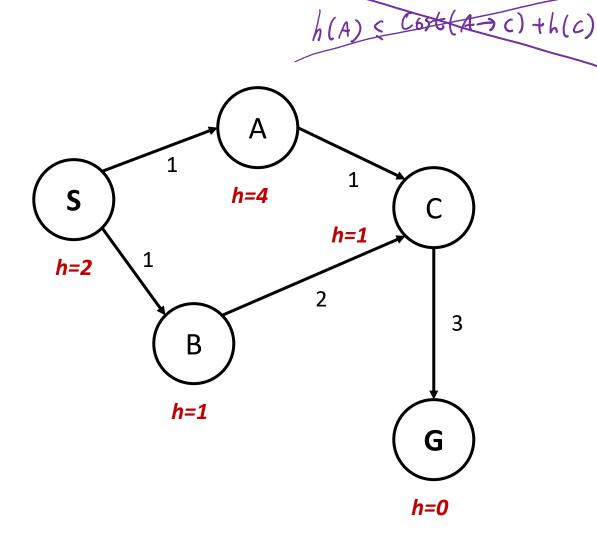
If *h* is consistent, then it is also admissible.

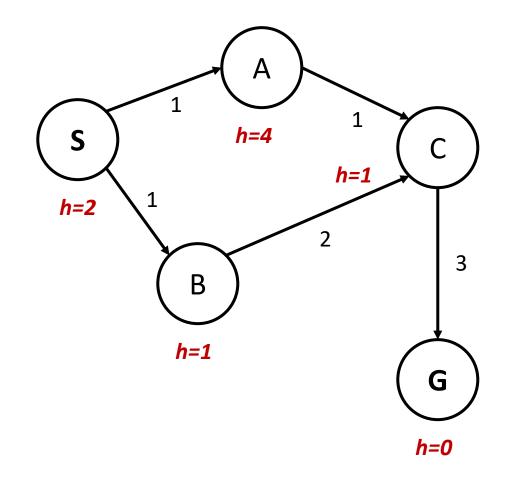
If the heuristic function is **admissible** and **the graph is a tree**, then A\* returns minimum-cost solution.

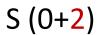
For general graphs, we can **treat it like a tree** by omitting the condition "**if not Reached[s']**" in the graph search algorithm (like what we did for memory-efficient DFS).

This allows us to apply the theorem in the previous page.

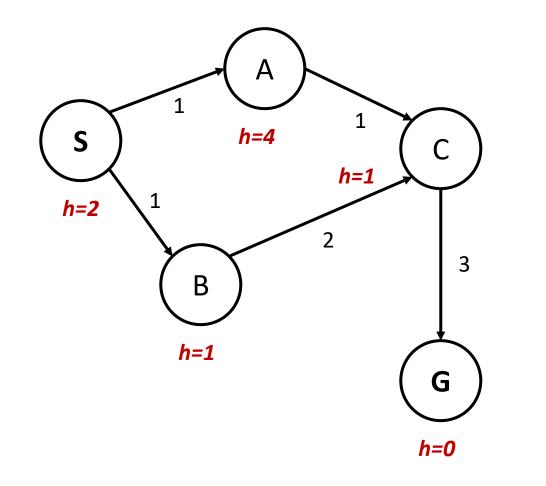


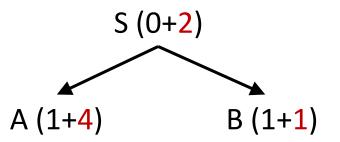




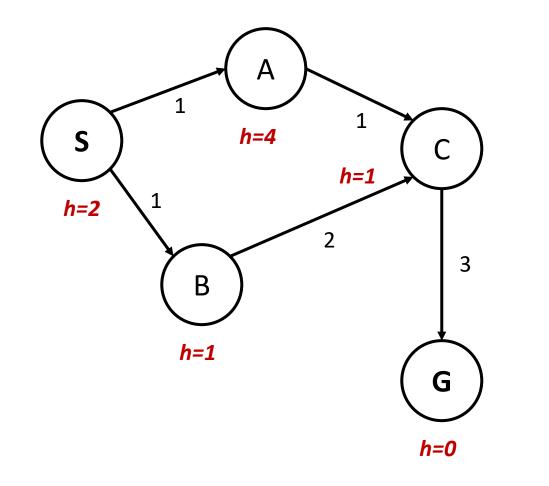


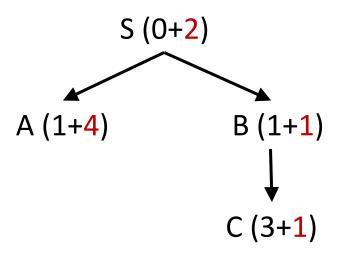
#### **Expanded** = { }



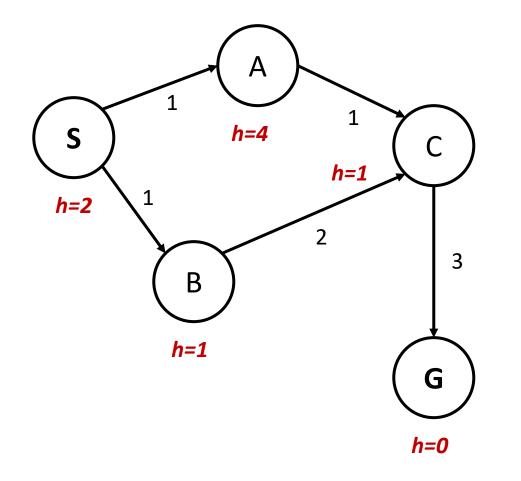


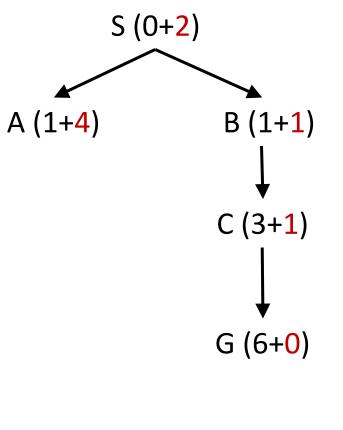
#### **Expanded** = { S }



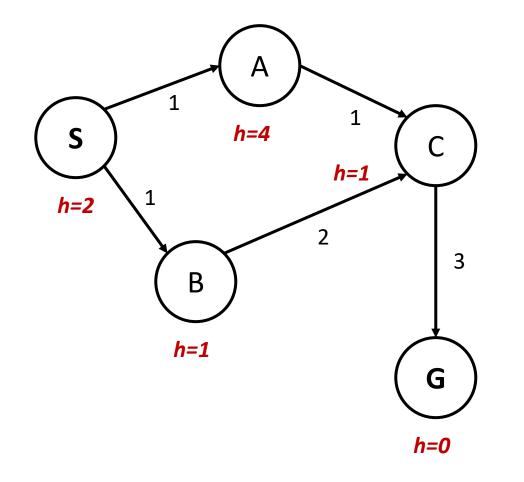


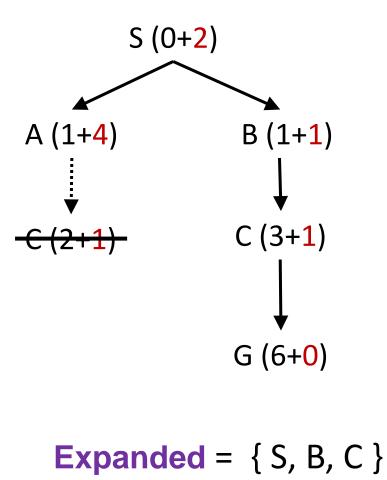
**Expanded** = { S, B }





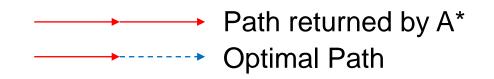
**Expanded** = { S, B, C }

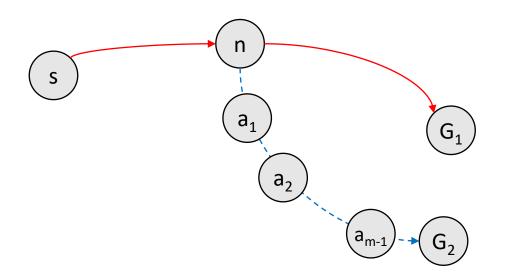




If **admissible** + **tree**, then A\* returns minimum-cost solution.

#### **Proof by contradiction**





#### Assume $dist(s, G_2) < dist(s, G_1)$

By the tree structure, every node can only be reached by its unique predecessor

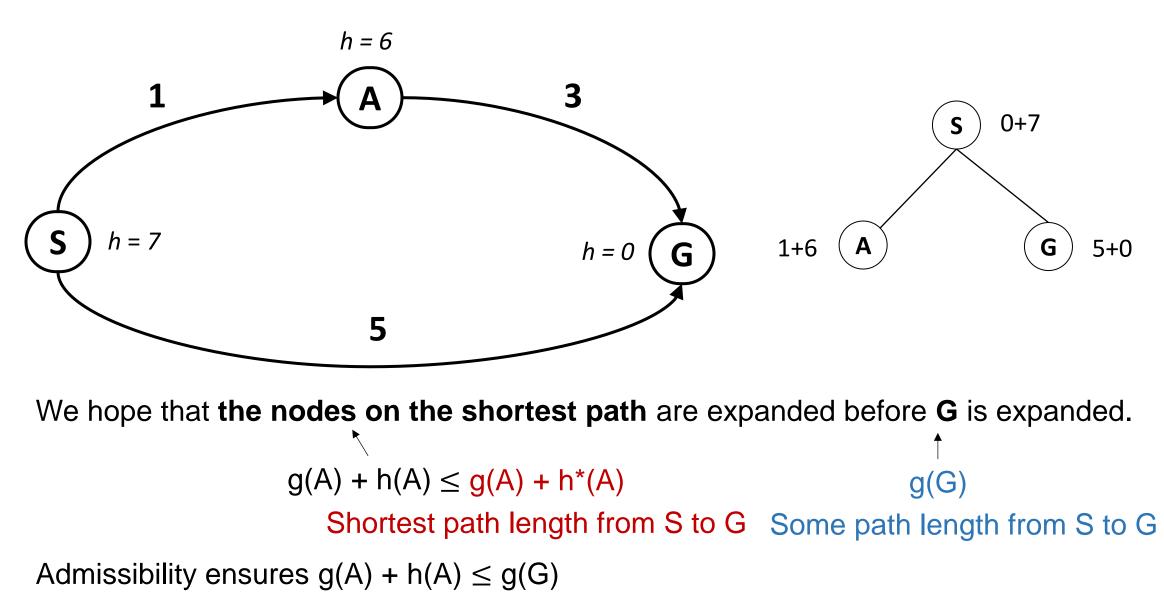
- n expanded earlier than  $G_1$
- $a_1 \text{ expanded earlier than } G_1$  $f(a_1) = g(a_1) + h(a_1) \leq g(a_1) + h^*(a_1)$  $= \operatorname{dist}(s, G_2) < \operatorname{dist}(s, G_1) \leq g(G_1) = f(G_1)$
- $a_2$  expanded earlier than  $G_1$

$$f(a_2) = g(a_2) + h(a_2) \le g(a_2) + h^*(a_2)$$
  
= dist(s, G<sub>2</sub>) < f(G<sub>1</sub>)

$$a_{m-1}$$
 expanded earlier than  $G_1$ 

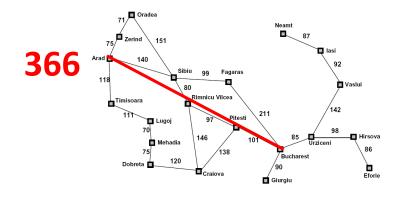
•  $G_2$  expanded earlier than  $G_1$ 

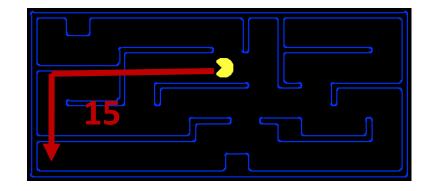
### Why "Admissibility"? (with an example)



# **Creating Admissible/Consistent Heuristics**

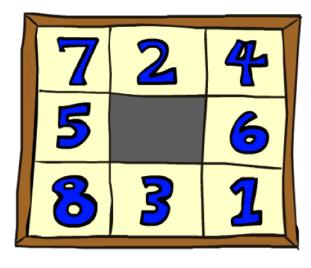
- Most of the work in solving hard search problems is in coming up with admissible/consistent heuristics.
- Often, admissible/consistent heuristics are solutions to *relaxed problems*, where new actions are available.



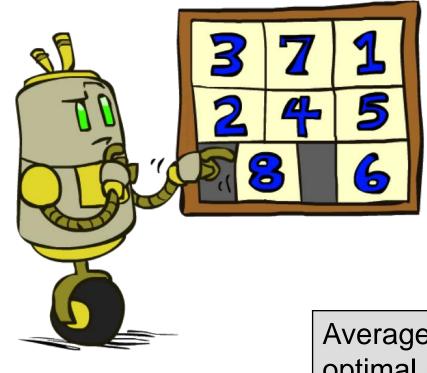


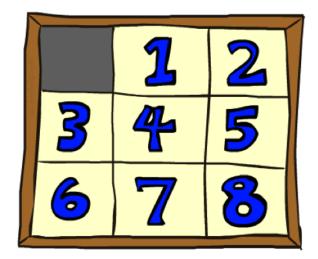
• Inadmissible heuristics are often useful too.

### **Example: 8 Puzzles**



Start State





#### **Goal State**

Average nodes expanded when the optimal path has...

	4 steps	8 steps	12 steps
UCS	112	6,300	3.6 x 10 <sup>6</sup>
#wrong tile	13	39	227
Manhattan	12	25	73

# Homework 1

Xuhui Kang, Haolin Liu

Deadline: 11:59PM, September 16

# Homework 1

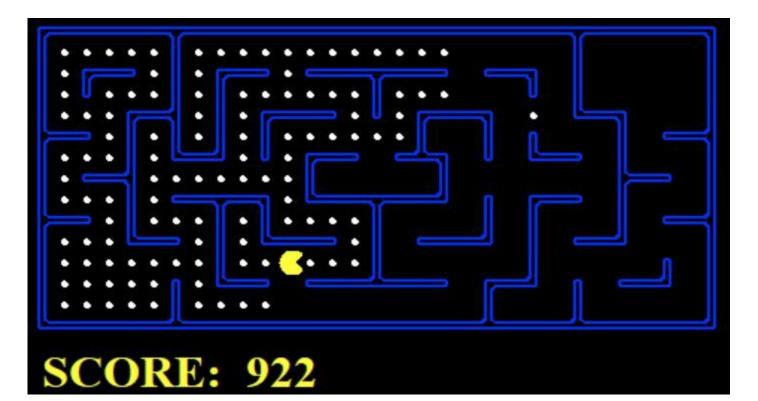
- 1. Choice Questions (10 points)
  - a. 14 questions.
  - b. Choice questions are 10 points in total and distributed evenly
- 2. Program Questions (25 points)

#### Homework 1: Choice Questions

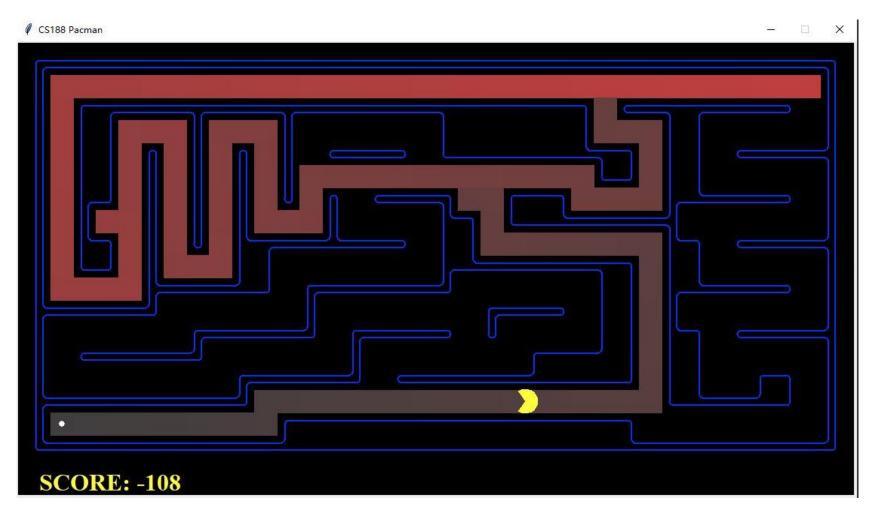
- Each question may be either single-choice or multiple-choice. Read carefully and select your answers accordingly.
- Grading:
  - Full Credit: If all correct options are selected.
  - Partial Credit: If only some correct options are selected, with no wrong options chosen.
  - No Credit: If any incorrect options are selected.
- Submission: Please answer directly on Gradescope. No need to submit a separate PDF.
- Scores and correct answers will not be released immediately after submission.

In this problem, you are going to help Pacman find paths in a maze world by focusing on implementing search algorithms.

Autograder is given both offline and online in GradeScope. Your grade in gradescope is the final grade.

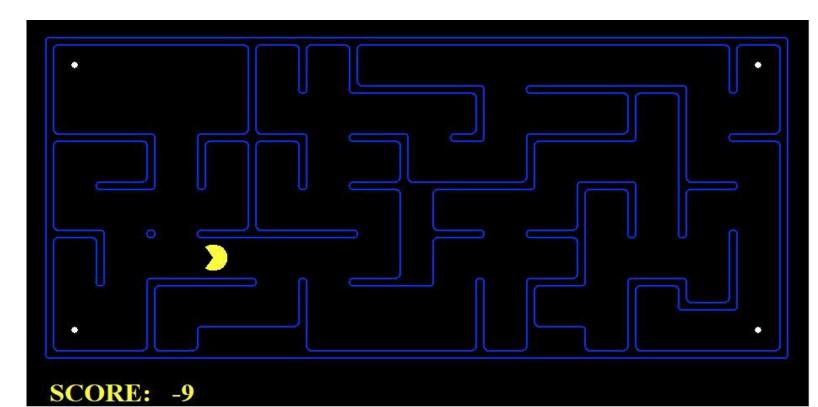


**Question 1 -- 4:** Implement Depth First Search, Breadth First Search, Uniform Cost Search, and A\* algorithm. Your goal is to reach a target area.



**Question 5 :** The goal of this question is to visit all four corners rather than reaching a destination state.

**Question 6:** In the corner search problem, you need to implement an admissible heuristic function for A\* algorithm.



You may need to consider more complex state space, which not only contain possible coordinate of the Pac-Man, but tracking the visitation of corners as well.

**Question 7 :** The goal of this question is to find a way to eat all of the pellets in the maze. The position of the pellets is known to the pacman.

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**Question 8 :** The goal of this question is to eat the **closest** dot (pellet) by finding the path to it.

